

Query Explore

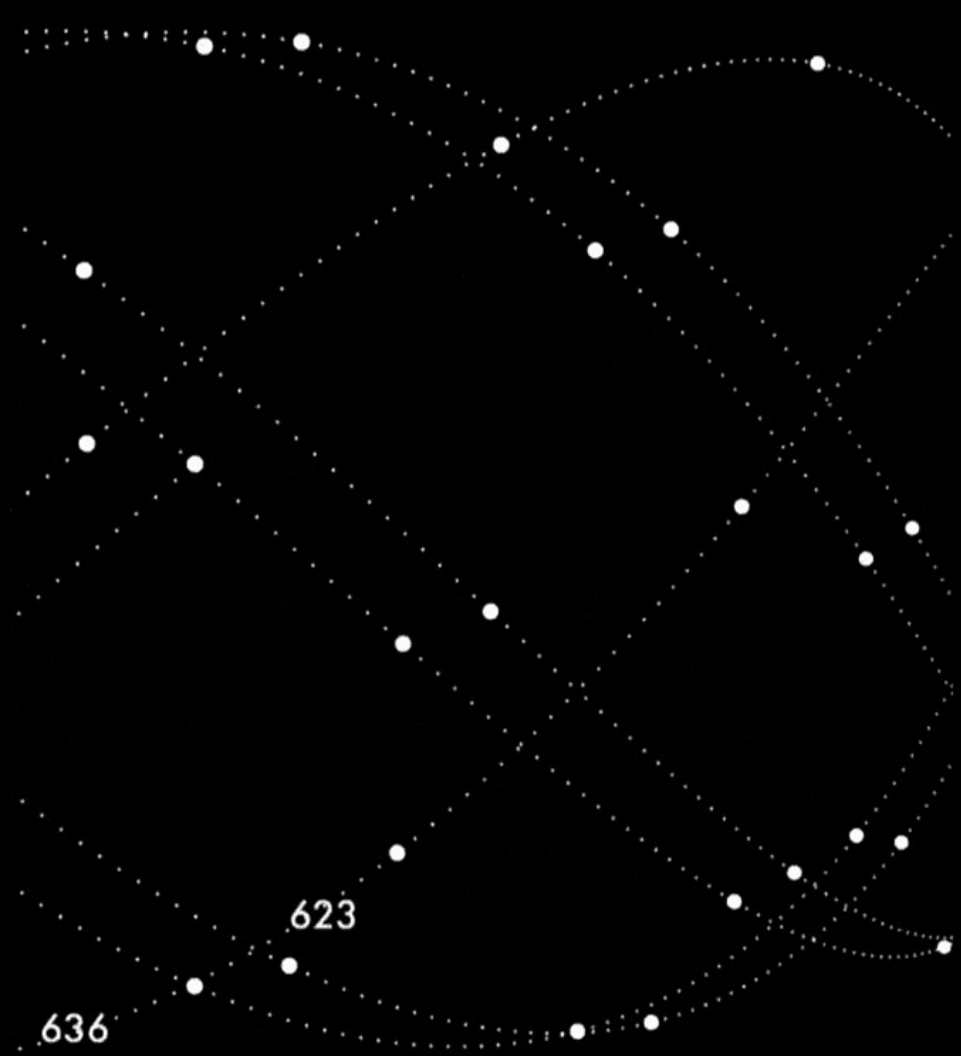
Understand

Human-in-the-Loop Process Mining with Cortado and OCPQ

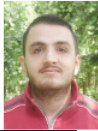
prof.dr.ir. Wil van der Aalst
professor at RWTH Aachen University &
chief scientist at Celonis



RWTHAACHEN
UNIVERSITY



Current PhDs & Postdocs PADS (The keywords do not do justice to the work done!)

	Wissenschaftlicher Mitarbeiter <u>Harry Beyel M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Aaron Küsters M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Marco Pegoraro M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Gyunam Park Dr. rer. nat.</u>
	Lucency and RPA		OC querying		Uncertainty		Action-Oriented PM
	Wissenschaftlicher Mitarbeiter <u>Tobias Brockhoff M.Sc.</u>		Wissenschaftliche Mitarbeiterin <u>Chiao-Yun Li M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Christian Rennert M.Sc.</u>		Wissenschaftlicher Mitarbeiter - Fraunhofer FIT <u>Humam Kourani M.Sc.</u>
	Comp. PM EMD		Abstractions		Federated PM		POWL
	Wissenschaftliche Mitarbeiterin <u>Nina Graves M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Lukas Liß M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Eduardo Goulart Rocha M.Sc.</u>		PhD Student <u>Dina Kretschmann M.Sc.</u>
	Quantity OCPM		Novel OCPM		Scalable PM		Log. PM Fressnapf
	Wissenschaftlicher Mitarbeiter <u>Tsung-Hao Huang M.Sc.</u>		Wissenschaftliche Mitarbeiterin <u>Lisa Mannel M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Christopher Schwanen M.Sc.</u>		Sicherheitsbeauftragte <u>Ebi Bebi Dr. Wuff</u>
	FCN PM		Discovery		Opt. and Conf.		Management
	Wissenschaftlicher Mitarbeiter <u>Benedikt Knopp M.Sc.</u>		Wissenschaftlicher Mitarbeiter <u>Ali Norouzifar M.Sc.</u>		Wissenschaftliche Mitarbeiterin <u>Leah Tacke genannt Unterberg M.Sc.</u>	New PhDs: - Cameron Pitsch - Rudo Mtengwa	
	OC-Simulation		L+ L-		MitM – Time series		
	Wissenschaftlicher Mitarbeiter Dr. rer. nat. <u>István Koren</u>		Wissenschaftliche Mitarbeiterin <u>Viki Peeva M.Sc.</u>		Softwareentwickler <u>Alessandro Berti M.Sc.</u>		
	IoP – Data Eng.		PMS		OCPM LLM		

Last PhD at TU/e as first promotor (Jan 2025)



Two recent PADS PhD theses worth reading



Object-Centric Process Mining Concepts and Advancements

Der Fakultät für Mathematik, Informatik und Naturwissenschaften der
RWTH Aachen University vorgelegte Dissertation zur Erlangung des
akademischen Grades eines Doktors der Naturwissenschaften von

Jan Niklas Adams, M. Sc.
aus Aachen

Incremental Process Discovery

Der Fakultät für Mathematik, Informatik und Naturwissenschaften der RWTH Aachen
University vorgelegte Dissertation zur Erlangung des akademischen Grades eines
Doktors der Naturwissenschaften von



ICPM 2024
10th International Conference on Process Mining
October 14-18, 2024, Aps, Lyngby, Denmark



Daniel Schuster, M. Sc.
aus Kassel

Presents the
Best PhD Thesis
to

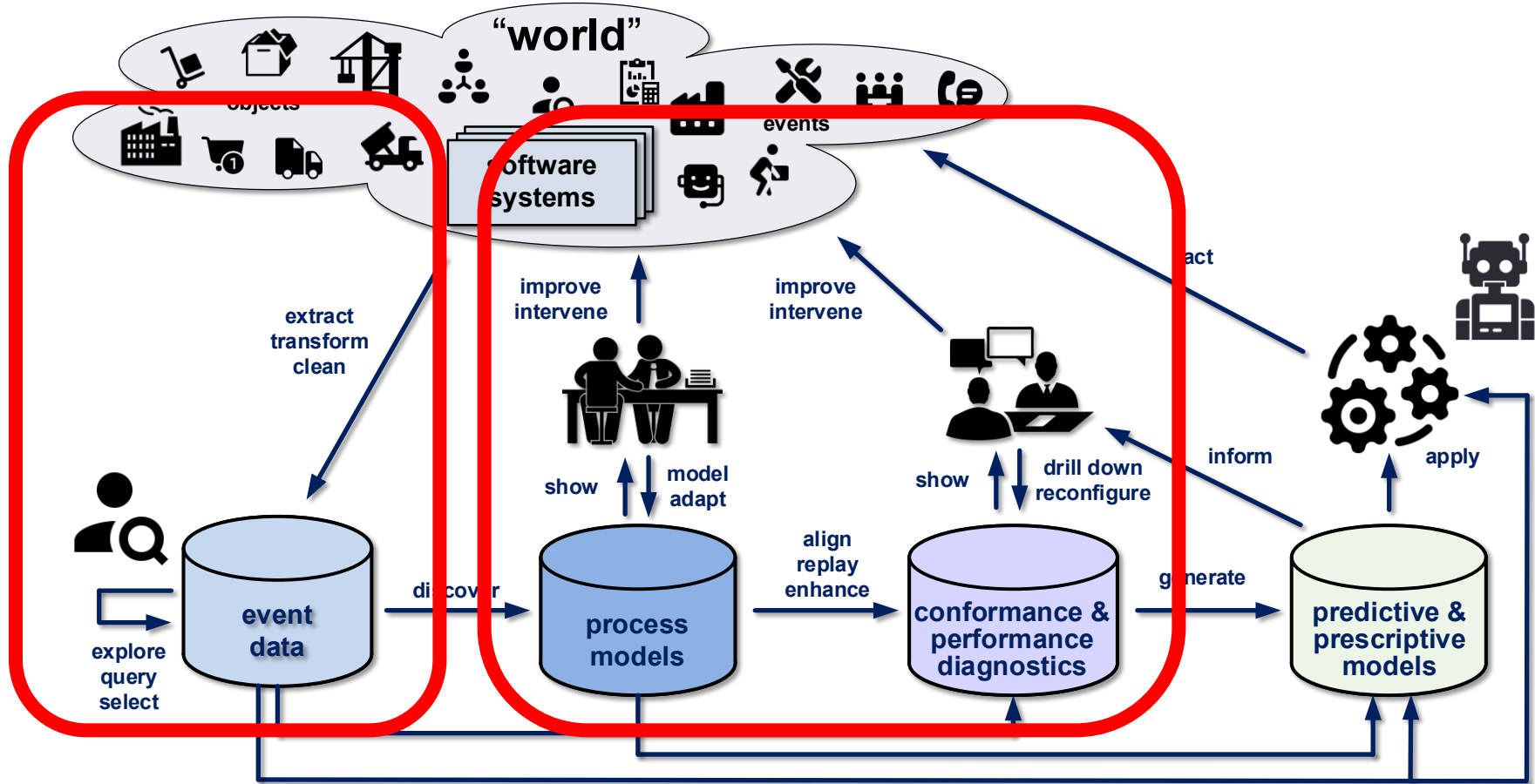
Daniel Schuster
for the thesis entitled

Incremental Process Discovery



**Query, Explore,
Understand, Interact,
Use Knowledge, ...**

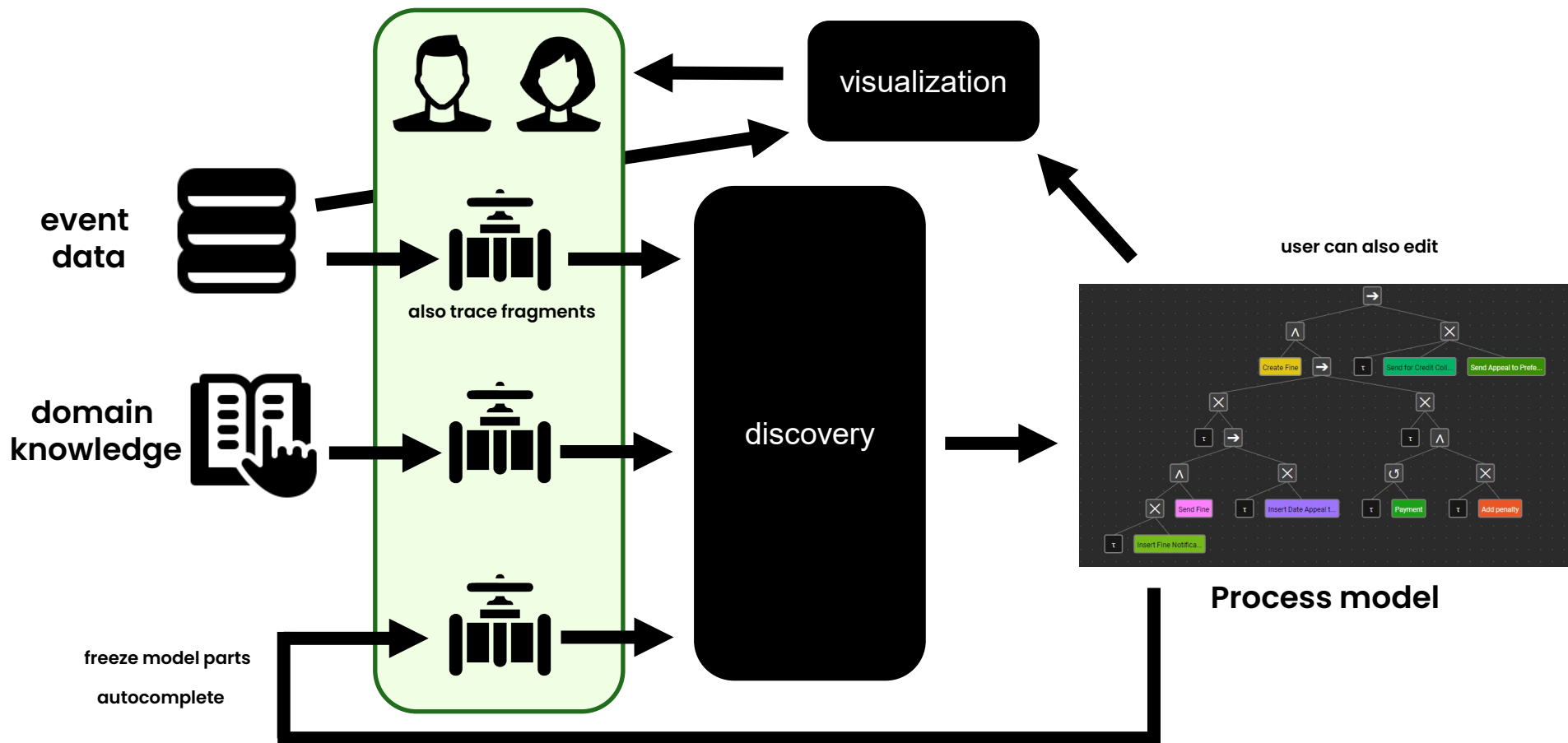
Human-in-the-loop: Query, Explore, Understand, Interact, Use Knowledge, ...



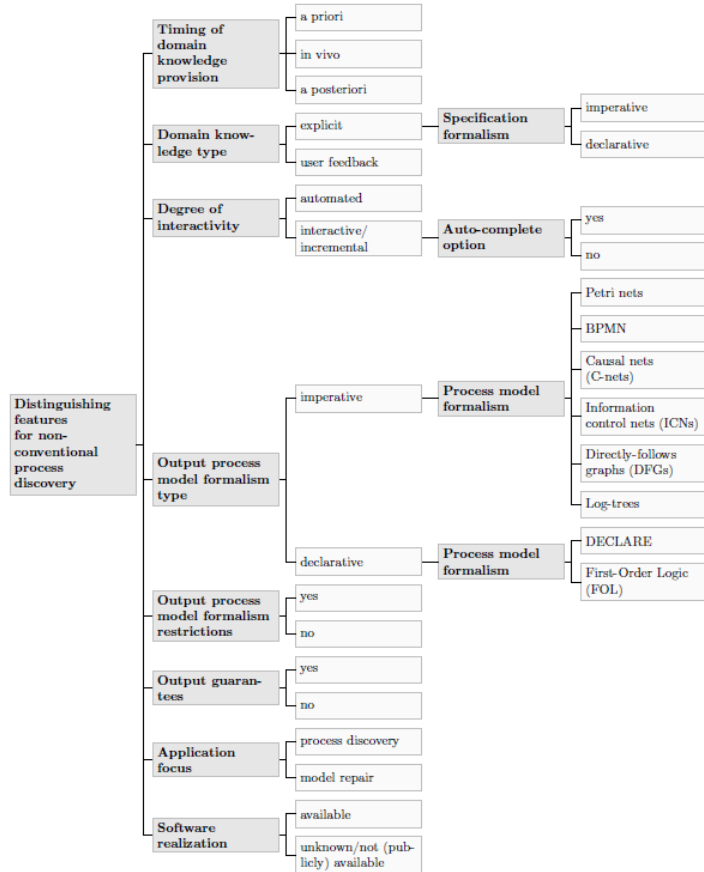
Cortado

Thanks to Daniel Schuster

User Guided & Incremental

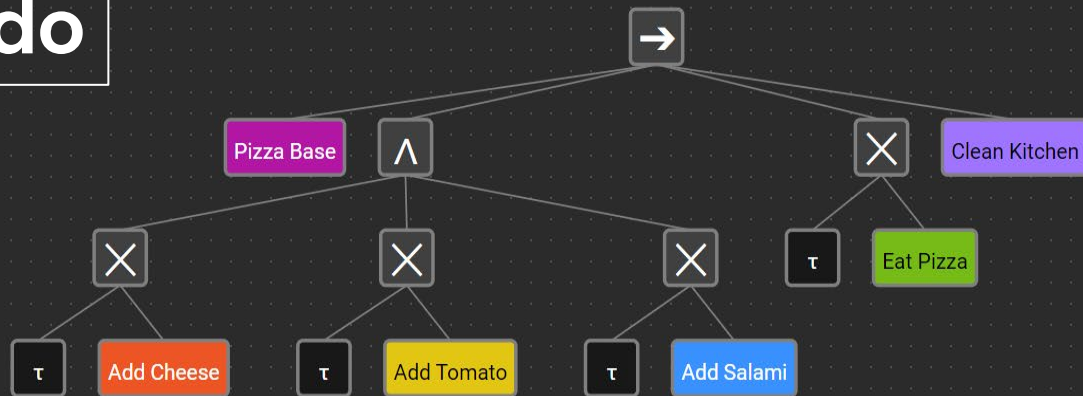


Related approaches (most related is the work of Alok Dixit using FCN)



Distinguishing feature (1 st level) Distinguishing feature (2 nd level)	Characteristic	Number of Approaches **
Timing of domain knowledge*	a priori in vivo a posteriori	9 (75%) 6 (50%) 1 (8%)
Domain knowledge type* Specification formalism	explicit imperative declarative user feedback	11 (92%) 6 (55%) 5 (45%) 4 (33%)
Degree of interactivity	automated interactive/incremental	5 (42%) 7 (58%)
Auto-complete option	yes no	5 (71%) 2 (29%)
Output process model formalism Process model formalism	imperative Petri nets BPMN Causal nets (C-nets) Information control nets Directly-follows graphs (DFGs) Log-trees declarative DECLARE FOL	10 (83%) 4 (40%) 1 (10%) 1 (10%) 1 (10%) 2 (20%) 1 (10%) 2 (17%) 1 (50%) 1 (50%)
Output process model formalism restrictions	yes no	3 (25%) 9 (75%)
Output guarantees	yes no	7 (58%) 5 (42%)
Application focus	process discovery model repair	10 (83%) 2 (17%)
Software realization	available unknown/not (publicly) available	7 (58%) 5 (42%)

1



Expert Mode

conformance check + add variant(s) to model discover initial model



No.	Info	Sub-Variants	Variant (16)
1.	 24.7% (494)	1	
2.	 14.1% (282)	1	
3.	 8.7% (174)	1	
4.	 8.3% (166)	1	
5.	 7% (140)	1	
6.	 7% (140)	1	

fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%)

selected variants: 15

Filter

Activities in model: 6/6 (100.0 %)

Variant Explorer

conformance check + add variant(s) to model discover initial model

Standard View Performance View Conformance View

No.	Info	Sub-Variants	Variant (16)
1.	24.7% (494)	1	Pizza Base Add Tomato Add Cheese Clean Kitchen
2.	14.1% (282)	1	Pizza Base Add Tomato Clean Kitchen
3.	8.7% (174)	1	Pizza Base Add Cheese Add Tomato Clean Kitchen
4.	8.3% (166)	1	Pizza Base Add Cheese Clean Kitchen
5.	7% (140)	1	Pizza Base Add Tomato Add Salami Add Cheese Eat Pizza Clean Kitchen
6.	7% (140)	1	Pizza Base Add Salami Add Tomato Add Cheese Eat Pizza Clean Kitchen
7.	5.35% (107)	1	Pizza Base Add Salami Add Tomato Clean Kitchen
8.	5.1% (102)	1	Pizza Base Add Tomato Add Salami Clean Kitchen
9.	4.15% (83)	1	Pizza Base Add Salami Add Cheese Clean Kitchen
10.	3.6% (72)	1	Pizza Base Clean Kitchen
11.	2.65% (53)	1	Pizza Base Add Salami Clean Kitchen
12.	2.4% (48)	1	Pizza Base Add Cheese Add Tomato Add Salami Eat Pizza Clean Kitchen
13.	2.35% (47)	1	Pizza Base Add Cheese Add Salami Add Tomato Eat Pizza Clean Kitchen
14.	1.65% (33)	1	Pizza Base Add Tomato Add Cheese Add Salami Eat Pizza Clean Kitchen
15.	1.6% (32)	1	Pizza Base Add Salami Add Cheese Add Tomato Eat Pizza Clean Kitchen
16.	1.35% (27)	1	Pizza Base Add Cheese Add Salami Clean Kitchen

Filter

fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%) selected variants: 16

Activity Overview		Model Performance	Variant Performance	Conformance			
Activity	in model					#	
Add Cheese	✓					1,384	
Add Salami	✓					812	
Add Tomato	✓					1,599	
Clean Kitchen	✓			✓		2,000	
Eat Pizza	✓					440	
Pizza Base	✓	✓				2,000	

Toy example
All variants selected

Variant Explorer

conformance check

+ add variant(s) to model

discover initial model

Activity Overview

Model Performance

Variant Performance

Conformance

Standard View

Performance View

Conformance View

No.	Info	Sub-Variants	Variant (16)
1.	24.7% (494)	1	Pizza Base → Add Tomato → Add Cheese → Clean Kitchen
2.	14.1% (282)	1	Pizza Base → Add Tomato → Clean Kitchen
3.	8.7% (174)	1	Pizza Base → Add Cheese → Add Tomato → Clean Kitchen
4.	8.3% (166)	1	Pizza Base → Add Cheese → Clean Kitchen
5.	7% (140)	1	Pizza Base → Add Tomato → Add Salami → Add Cheese → Eat Pizza → Clean Kitchen
6.	7% (140)	1	Pizza Base → Add Salami → Add Tomato → Add Cheese → Eat Pizza → Clean Kitchen
7.	5.35% (107)	1	Pizza Base → Add Salami → Add Tomato → Clean Kitchen
8.	5.1% (102)	1	Pizza Base → Add Tomato → Add Salami → Clean Kitchen
9.	4.15% (83)	1	Pizza Base → Add Salami → Add Cheese → Clean Kitchen
10.	3.6% (72)	1	Pizza Base → Clean Kitchen
11.	2.65% (53)	1	Pizza Base → Add Salami → Clean Kitchen
12.	2.4% (48)	1	Pizza Base → Add Cheese → Add Tomato → Add Salami → Eat Pizza → Clean Kitchen
13.	2.35% (47)	1	Pizza Base → Add Cheese → Add Salami → Add Tomato → Eat Pizza → Clean Kitchen
14.	1.65% (33)	1	Pizza Base → Add Tomato → Add Cheese → Add Salami → Eat Pizza → Clean Kitchen
15.	1.6% (32)	1	Pizza Base → Add Salami → Add Cheese → Add Tomato → Eat Pizza → Clean Kitchen
16.	1.35% (27)	1	Pizza Base → Add Cheese → Add Salami → Clean Kitchen

Filter

Activity

	in model	>>	>>>	#
Add Cheese	✓			1,384
Add Salami	✓			812
Add Tomato	✓			1,599
Clean Kitchen	✓		✓	2,000
Eat Pizza	✓			440
Pizza Base	✓	✓		2,000

select all
successful
variants and
discover the
model

fitting traces: 2,000 / 2,000 (100.0%)

fitting variants: 16 / 16 (100.0%)

selected variants: 6

Activities in model: 6/6 (100.0 %)

version 1.12.1 | powered by X4P

Cortado — Interactive Tool for Data-Driven Process Discovery & Modeling

Files

Settings

Editors

Documentation

Process Tree Editor

shift selected node(s) to left

clear selection

(un)freeze subtrees

discovered process tree

→

Pizza Base

^

Eat Pizza

Clean Kitchen

Add Cheese

Add Tomato

Add Salami

variant correct

Expert Mode

Variant Explorer

conformance check

+ add variant(s) to model

discover initial model

Activity Overview

Model Performance

Variant Performance

Conformance

Standard View

Performance View

Conformance View

No.	Info	Sub-Variants	Variant (16)
1.	24.7% (494)	1	Pizza Base Add Tomato Add Cheese Clean Kitchen
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6.	7% (140)	1	Pizza Base Add Salami Add Tomato Add Cheese Eat Pizza Clean Kitchen
7.	5.35% (107)	1	Pizza Base Add Salami Add Tomato Add Cheese Eat Pizza Clean Kitchen

fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%)

selected variants: 6

Filter

Activities in model: 6/6 (100.0%)

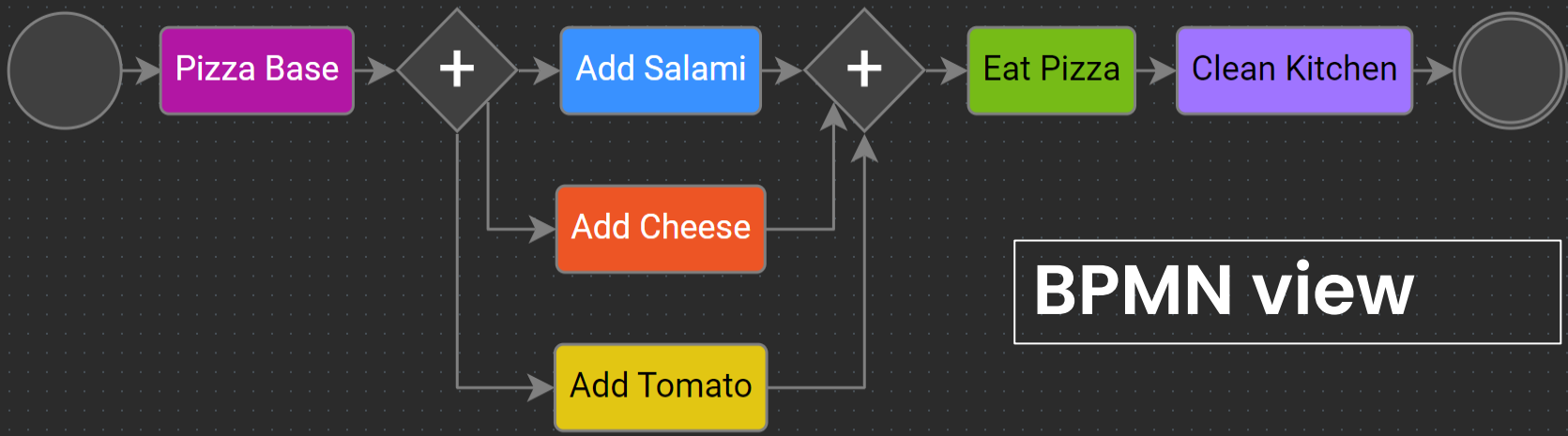
restart backend

contact

version 1.12.1

powered by X4PY

(un)freeze entire subtree to prevent them from being changed when adding variants



BPMN view

No.	Info	Sub-Variants	Variant (16)
1.	24.7% (494)	1	Pizza Base Add Tomato Add Cheese Clean Kitchen
2.	14.1% (282)	1	Pizza Base Add Tomato Clean Kitchen
3.	8.7% (174)	1	Pizza Base Add Cheese Add Tomato Clean Kitchen
4.	8.3% (166)	1	Pizza Base Add Cheese Clean Kitchen
5.	7% (140)	1	Pizza Base Add Tomato Add Salami Add Cheese Eat Pizza Clean Kitchen
6.	7% (140)	1	Pizza Base Add Salami Add Tomato Add Cheese Eat Pizza Clean Kitchen

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
Eat Pizza	✓	440
Pizza Base	✓	2,000

Portado — Interactive Tool for Data-Driven Process Discovery & Modeling

FilesSettingsEditorsDocumentation

shift selected node(s) up

clear selection(un)freeze subtrees

select two more variants

add variants

→

Pizza Base

^

Eat Pizza

Clean Kitchen

Add Cheese

Add Tomato

Add Salami

variant explorer

conformance check

add variant(s) to model

discover initial model

Variant (16)

No.

Info

Sub-Variants

Variant (16)

1.

24.7%
(494)

1

Pizza Base

Add Tomato

Add Cheese

Clean Kitchen

2.

14.1%
(282)

1

Pizza Base

Add Tomato

Clean Kitchen

3.

8.7%
(174)

1

Pizza Base

Add Cheese

Add Tomato

Clean Kitchen

4.

8.3%
(166)

1

Pizza Base

Add Cheese

Clean Kitchen

5.

7%
(140)

1

Pizza Base

Add Tomato

Add Salami

Add Cheese

Eat Pizza

Clean Kitchen

6.

7%
(140)

1

Pizza Base

Add Salami

Add Tomato

Add Cheese

Eat Pizza

Clean Kitchen

Activity Overview

Model Performance

Variant Performance

Conformance

Activity

Add Cheese

Add Salami

Add Tomato

Clean Kitchen

Eat Pizza

Pizza Base

in model

»

»

#

✓

✓

✓

✓

✓

✓

1,384

812

1,599

2,000

440

2,000

incremental!

fitting traces: 2,000 / 2,000 (100.0%)

fitting variants: 16 / 16 (100.0%)

selected variants: 8

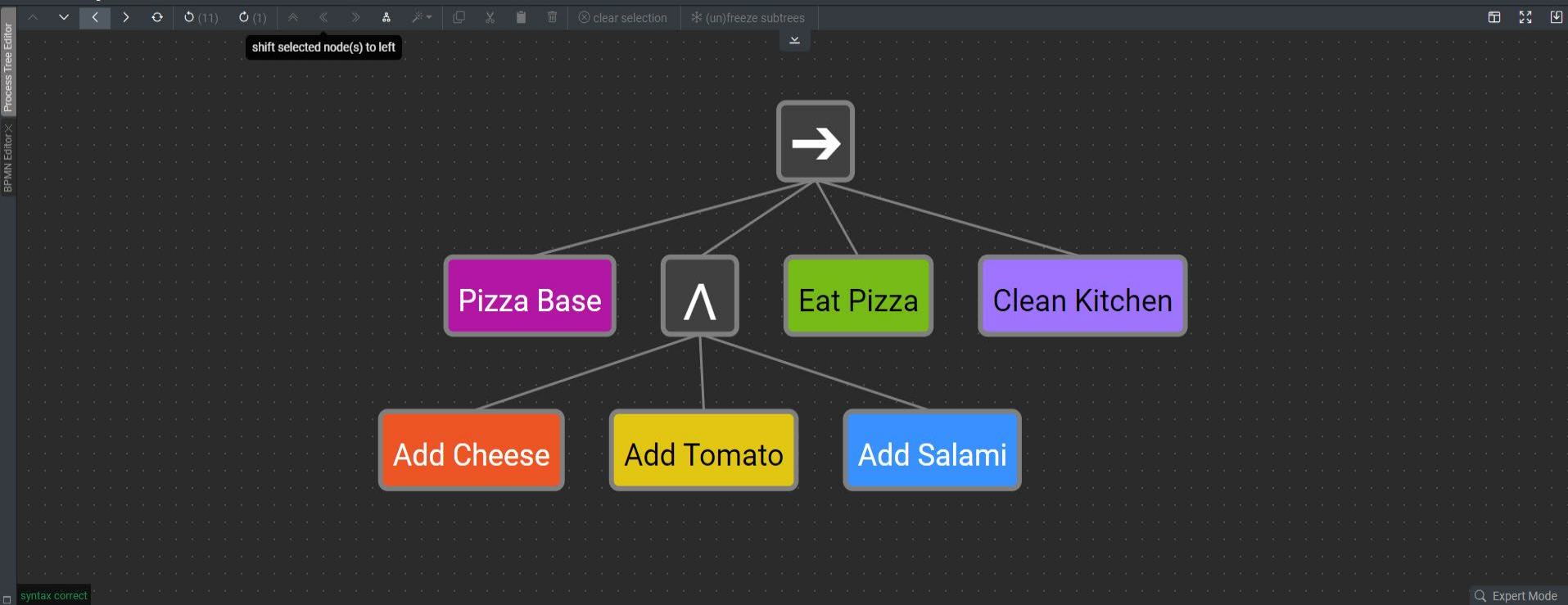
Activities in model: 6/6 (100.0 %)

version 1.12.1

powered by X4P



Q Expert Mode



Variant Explorer

conformance check + add variant(s) to model discover initial model

Variant (16)

No.	Info	Sub-Variants	Variant (16)
1.	☒ 24.7% (494)	1	Pizza Base Add Tomato Add Cheese Clean Kitchen
2.	☐ 14.1% (282)	1	Pizza Base Add Tomato Clean Kitchen
3.	☒ 8.7% (174)	1	Pizza Base Add Cheese Add Tomato Clean Kitchen
4.	☐ 8.3%	1	Pizza Base Add Cheese Clean Kitchen

fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%) selected variants: 8

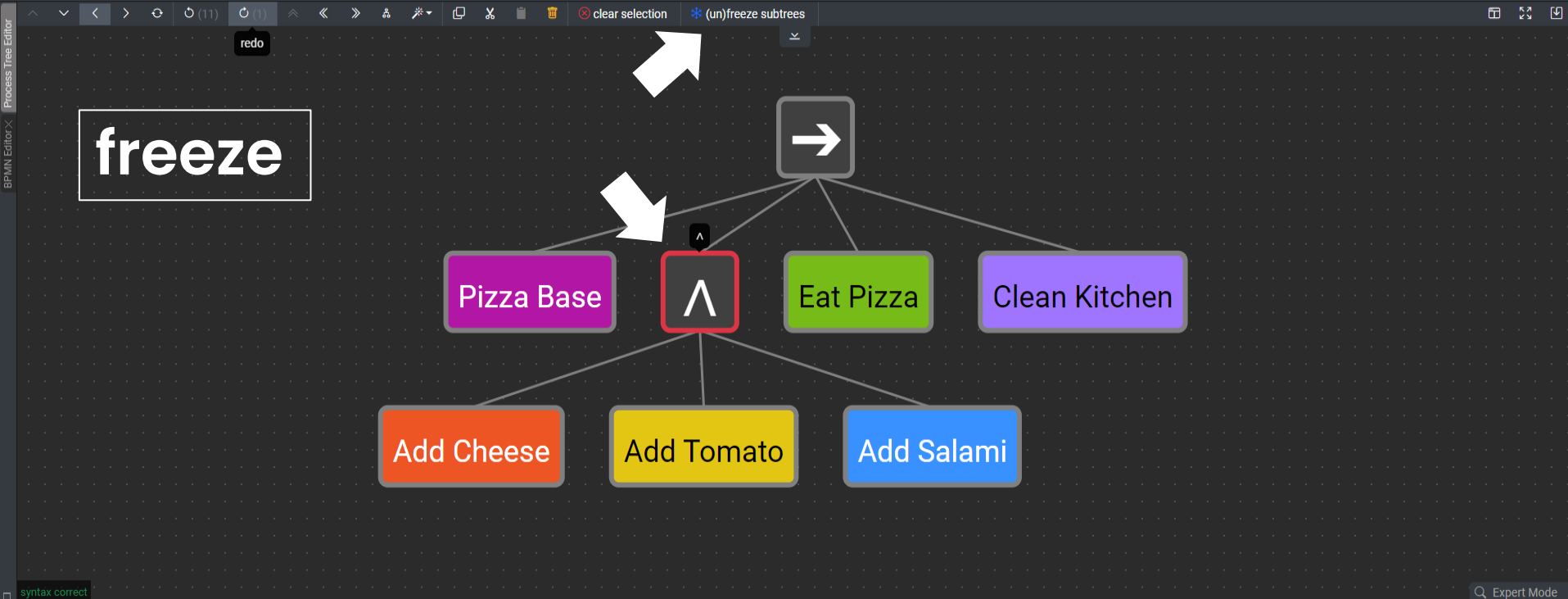
Activity Overview Model Performance Variant Performance Conformance

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
Eat Pizza	✓	440
Pizza Base	✓	2,000

Filter

Activities in model: 6/6 (100.0%)

restart backend contact version 1.12.1 powered by X4P



Variant Explorer

conformance check + add variant(s) to model discover initial model

Variant (16)

No.	Info	Sub-Variants	Variant (16)
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fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%)

selected variants: 8

Activity Overview

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
Eat Pizza	✓	440
Pizza Base	✓	2,000

Filter

Activities in model: 6/6 (100.0%)

version 1.12.1 | powered by X4P

Process Tree Editor

BPWIN Editor

freeze

```
graph TD; Root[ ] --> PB[Pizza Base]; Root --> AND[^]; Root --> EP[Eat Pizza]; Root --> CK[Clean Kitchen]; AND --> AC[Add Cheese]; AND --> AT[Add Tomato]; AND --> AS[Add Salami];
```

Activity Overview

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
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Pizza Base	✓	2,000

Variant (16)

Variant	Sub-Variants	Variant (16)
1	24.7% (494)	Pizza Base → Add Tomato → Add Cheese → Clean Kitchen
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fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%)

selected variants: 8

Activities in model: 6/6 (100.0%)

version 1.12.1 | powered by X4P

Cortado — Interactive Tool for Data-Driven Process Discovery & Modeling

Files

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Documentation

Process Tree Editor

BPMN Editor

shift selected node(s) up

clear selection

(un)freeze subtrees

→

×

Clean Kitchen

^

→

Add Tomato

Add Cheese

^

Eat Pizza

Add Cheese

Add Tomato

Add Salami

much better

variant correct

Variant Explorer

conformance check

add variant(s) to model

discover initial model

Activity Overview

Model Performance

Variant Performance

Conformance

1. 24.7% (494) 1 Pizza Base Add Tomato Add Cheese Clean Kitchen

2. 14.1% (282) 1 Pizza Base Add Tomato Clean Kitchen

3. 8.7% (174) 1 Pizza Base Add Cheese Add Tomato Clean Kitchen

4. 8.3% (166) 1 Pizza Base Add Cheese Clean Kitchen

fitting traces: 2,000 / 2,000 (100.0%)

fitting variants: 16 / 16 (100.0%)

selected variants: 8

Activity

Add Cheese

Add Salami

Add Tomato

Clean Kitchen

Eat Pizza

Pizza Base

in model

»

»»

#

1,384

812

1,599

2,000

440

2,000

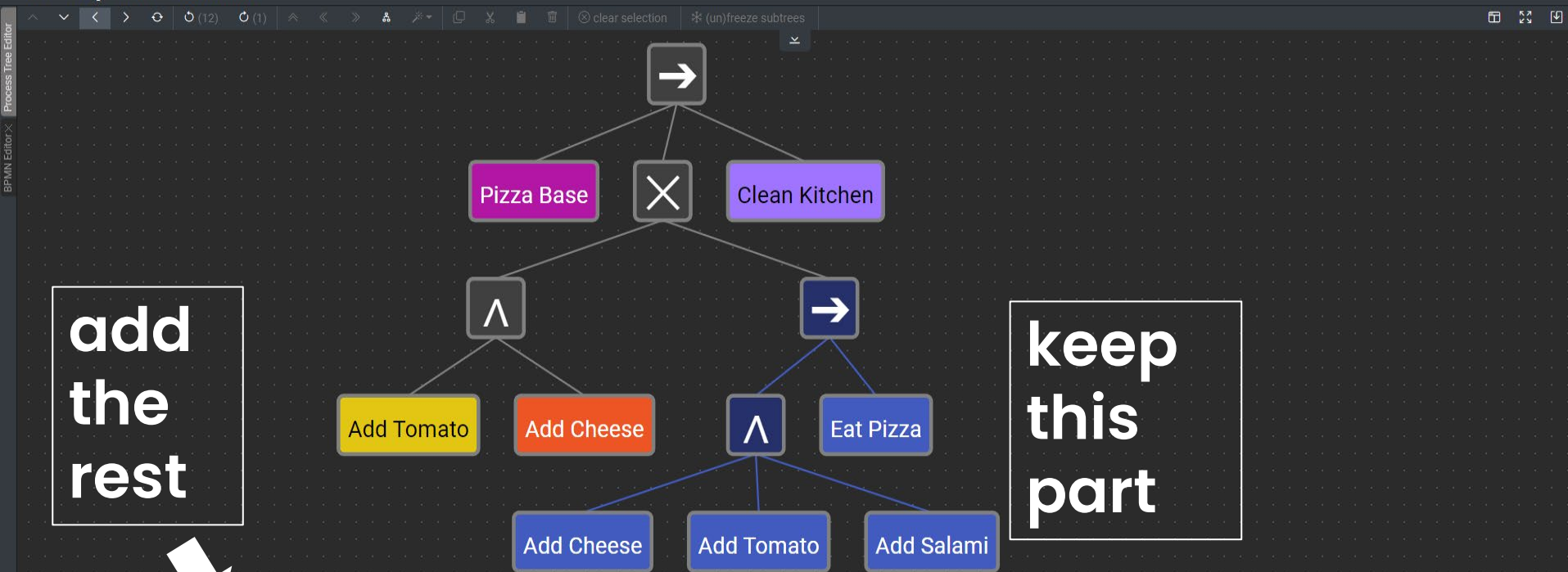
Activities in model: 6/6 (100.0%)

restart backend

contact

version 1.12.1

powered by X4PY



Performance check + add variant(s) to model discover initial model

No.	Info	Sub-Variants	Variant (16)
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fitting traces: 2,000 / 2,000 (100.0%) fitting variants: 16 / 16 (100.0%)

selected variants: 16

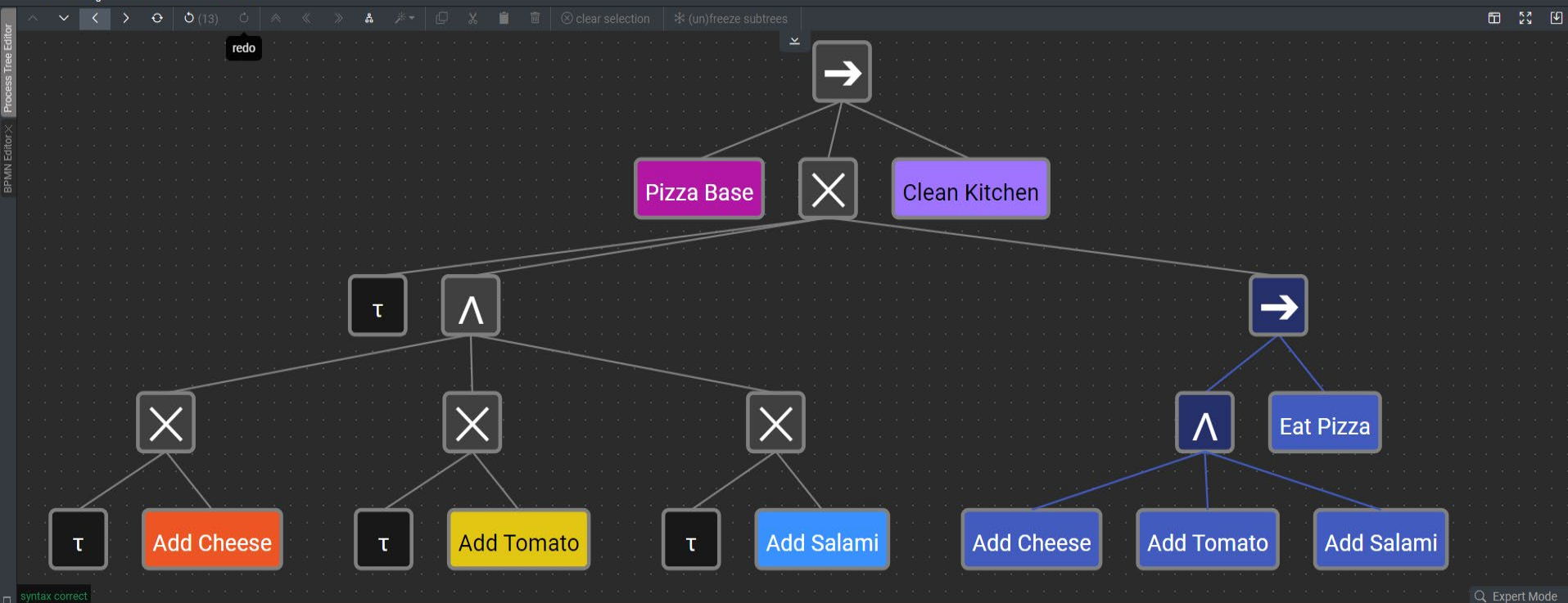
Activity Overview Model Performance Variant Performance Conformance

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
Eat Pizza	✓	440
Pizza Base	✓	2,000

Filter

Activities in model: 6/6 (100.0%)

version 1.12.1 powered by X4P



Variant Explorer

conformance check + add variant(s) to model discover initial model

Variant (16)

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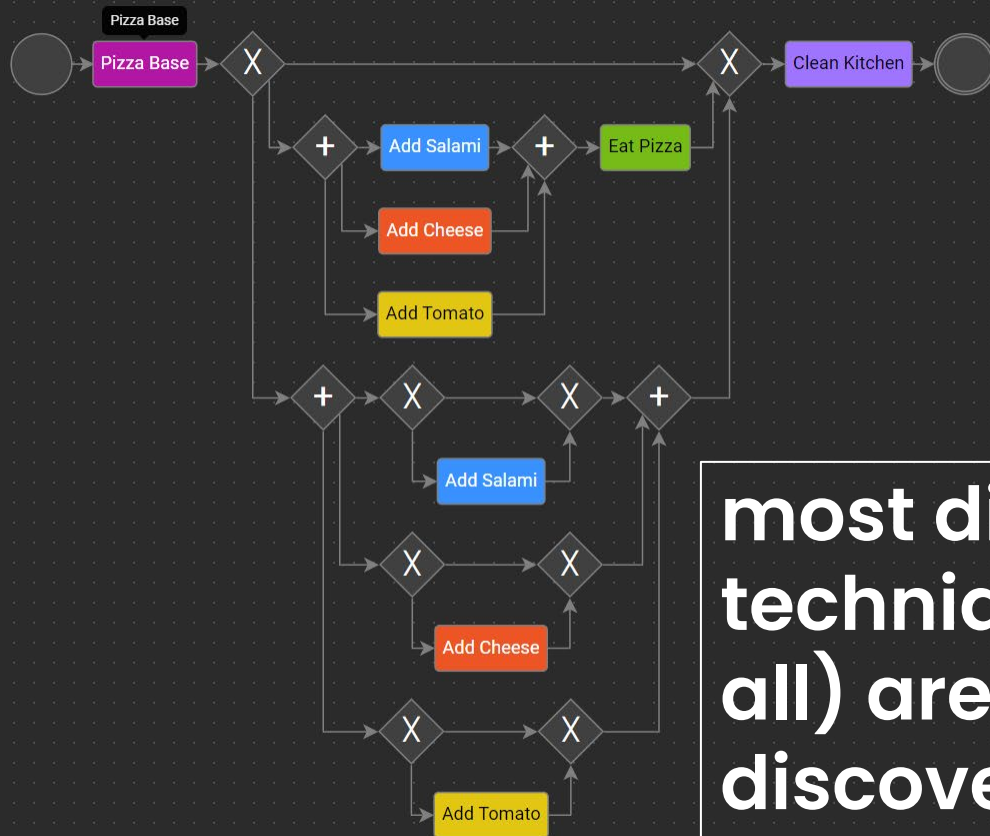
Activity Overview

Activity	in model	#
Add Cheese	✓	1,384
Add Salami	✓	812
Add Tomato	✓	1,599
Clean Kitchen	✓	2,000
Eat Pizza	✓	440
Pizza Base	✓	2,000

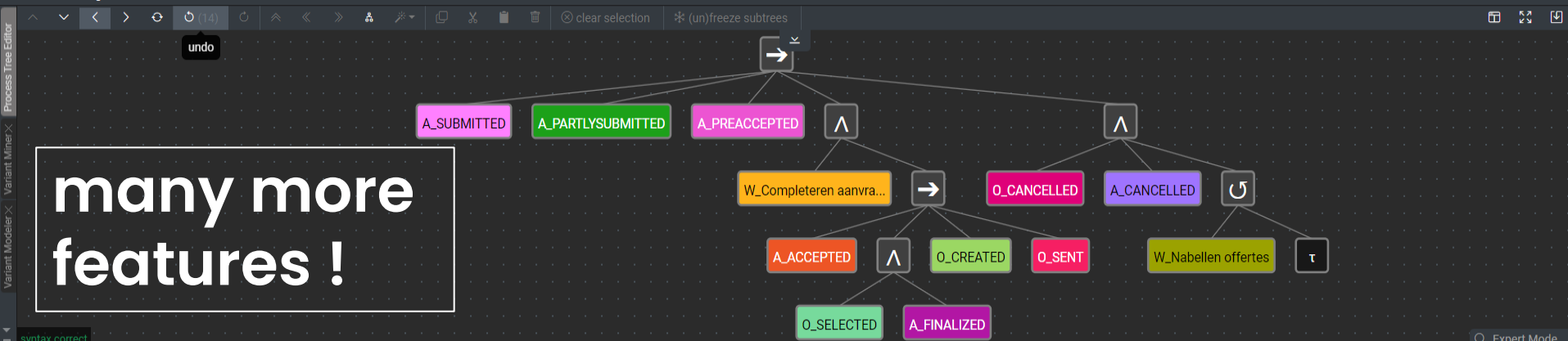
Filter

Activities in model: 6/6 (100.0%)

restart backend contact version 1.12.1 powered by X4P



most discovery techniques (if not all) are unable to discover this model



syntax correct

Variant Explorer

☐ conformance check
 ☐ add variant(s) to model
 ☐ discover initial model

No.	Info	Sub-Variants	Variant (3830)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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fitting traces: 2,000 / 13,087 (15.3%) fitting variants: 16 / 3,830 (0.4%)

selected variants: 1

Activities in model: 12/23 (52.2%)



Original software publication

Cortado: A dedicated process mining tool for interactive process discovery

Daniel Schuster , Sebastiaan J. van Zelst , Wil M.P. van der Aalst 

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Abstract

Process discovery is an essential discipline within process mining, which deals with the data-driven generation of insights into operational processes. From event data that capture historical process executions, process discovery algorithms learn a process model describing the execution of the various activities involved. Such discovered models are crucial artifacts used by many process mining techniques. Most existing process discovery approaches can be classified as conventional—they function like a black-box approach and often learn models of poor quality from event data. Cortado is a software

Cortado

 Lint **passing**  Test **passing**



Cortado is a process mining tool dedicated for interactive/incremental process discovery

Overview Functionality

- Import event logs `.xes` and initial process models `.ptml`
- Visually explore event logs with the variant explorer
- Discover initial process models from user-selected process behavior
- Incrementally extend process models by user-selected process behavior
- Manually edit process models under construction any time
- Export discovered process models as `.ptml` or `.pnml` files
- Temporal performance analysis, both model-based and model-independent

Downloads

Standalone builds for Windows 10/11, Linux, and macOS (Apple Silicon only) are available from the [GitHub Releases Page](#).

<https://github.com/cortado-tool/cortado>

<https://doi.org/10.1016/j.softx.2023.101373>

OCPM

High speed

It all starts with event data

Case ID	Activity	Resource	Timestamp	Product	Prod-price	Quantity	Address
...	...	...	...	...	...	...	...
6350	place order	Aiden	2018/02/13 14:29:45.000	APPLE iPhone 6 16 GB	639,00 €	5	NL-7751DG-21
6283	pay	Lily	2018/02/13 14:39:25.000	SAMSUNG Galaxy S6 32 GB	543,99 €	3	NL-7828AM-11a
6253	prepare delivery	Sophia	2018/02/13 15:01:33.000	APPLE iPhone 6 16 GB	639,00 €	3	NL-7887AC-13
6257	prepare delivery	Aiden	2018/02/13 15:03:43.000	SAMSUNG Galaxy S6 32 GB	543,99 €	1	NL-9521KJ-34
6185	confirm payment	Emily	2018/02/13 15:05:36.000	SAMSUNG Galaxy S4	329,00 €	1	NL-9521GC-32
6218	confirm payment	Emily	2018/02/13 15:08:11.000	APPLE iPhone 6 16 GB	969,00 €	2	NL-7948BX-10
6245	make delivery	Michael	2018/02/13 15:14:04.000	APPLE iPhone 6 16 GB	639,00 €	3	NL-7905AX-38
6272	pay	Emily	2018/02/13 15:20:36.000	APPLE iPhone 6 16 GB	639,00 €	1	NL-7821AC-3
6269	pay	Charlotte	2018/02/13 15:25:21.000	SAMSUNG Galaxy S4	329,00 €	1	NL-7907EJ-42
6212	prepare delivery	Sophia	2018/02/13 15:43:39.000	HUAWEI Core Prime G361	135,00 €	1	NL-7905AX-38
6323	send invoice	Alexander	2018/02/13 15:46:08.000	APPLE iPhone 6 16 GB	639,00 €	1	NL-7833HT-15
6246	confirm payment	Jack	2018/02/13 15:56:03.000	SAMSUNG Galaxy S4	329,00 €	3	NL-7833HT-15
6347	send invoice	Jack	2018/02/13 15:57:42.000	SAMSUNG Galaxy S4	329,00 €	3	NL-7905AX-38
6351	place order	Zoe	2018/02/13 16:17:37.000	APPLE iPhone 6 16 GB	449,00 €	3	NL-9521GC-32
6204	prepare delivery	Sophia	2018/02/13 16:31:28.000	SAMSUNG Core Prime G361	135,00 €	1	NL-7828AM-11a
6204	make delivery	Kaylee	2018/02/13 16:51:54.000	SAMSUNG Core Prime G361	135,00 €	1	NL-7828AM-11a
6265	confirm payment	Lily	2018/02/13 16:55:55.000	SAMSUNG Galaxy S4	329,00 €	4	NL-9521GC-32
6250	confirm payment	Jack	2018/02/13 17:03:26.000	MOTOROLA Moto G	199,00 €	4	NL-7942GT-2
6328	send invoice	Lily	2018/02/13 17:30:16.000	APPLE iPhone 6s 64 GB	858,00 €	4	NL-9514BV-16
6352	place order	Aiden	2018/02/13 17:53:22.000	APPLE iPhone 6 16 GB	639,00 €	2	NL-9514BV-16
6317	send invoice	Jack	2018/02/13 18:45:30.000	APPLE iPhone 6s 64 GB	858,00 €	5	NL-7907EJ-42
6353	place order	Sophia	2018/02/13 20:16:20.000	APPLE iPhone 5s 16 GB	449,00 €	4	NL-7751AR-19
...	...	...	...	...	...	...	...

event =
case +
activity +
timestamp +

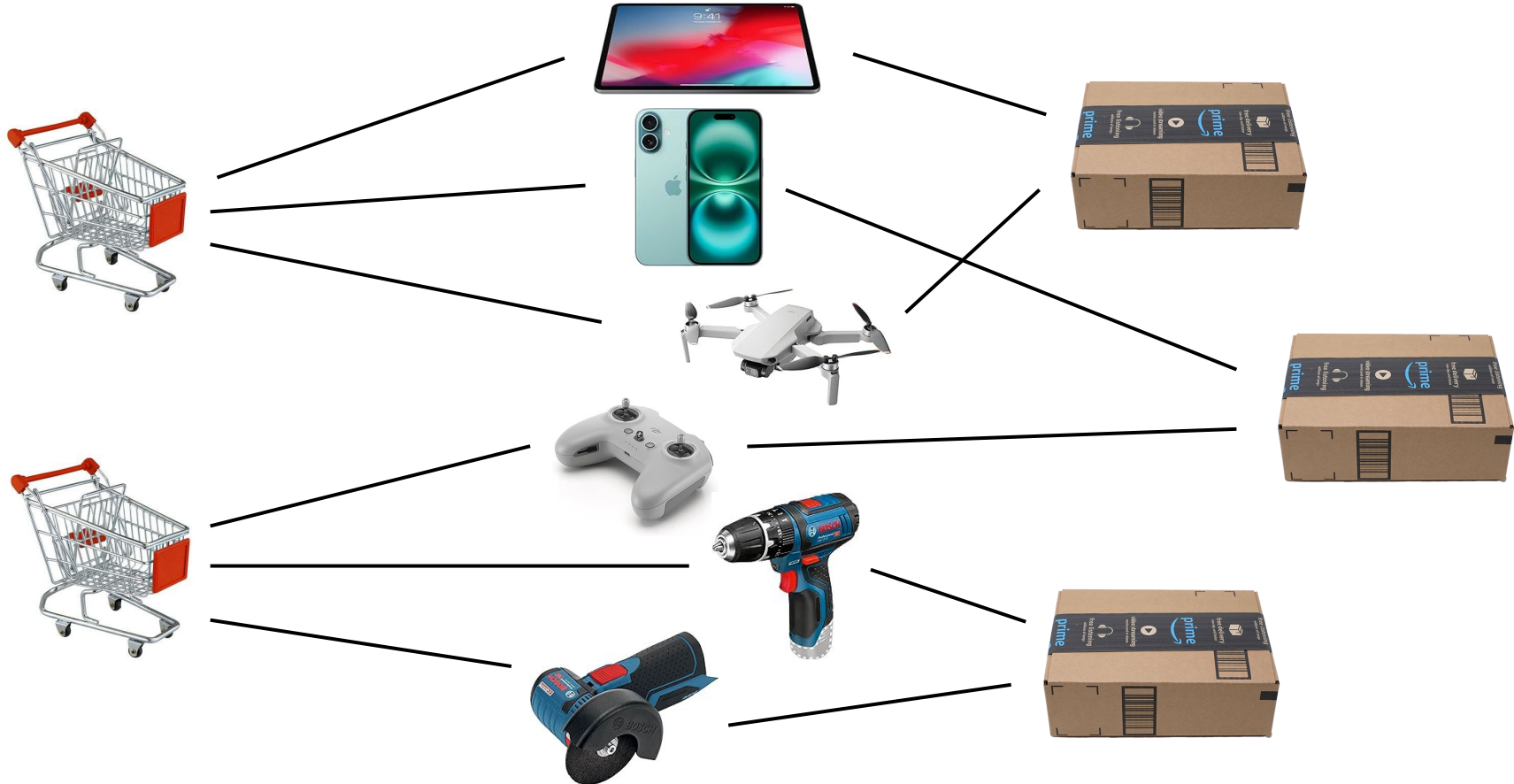
It all starts with event data

Case ID	Activity	Resource	Timestamp	Product	Prod-price	Quantity	Address
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6212	prepare delivery	Sophia	2018/02/13 15:43:39.000	HUAWEI P8 Lite	234,00 €	1	NL-7905AX-38
6323	send invoice	Alexander	2018/02/13 15:46:08.000	APPLE iPhone 6 16 GB	639,00 €	1	NL-7833HT-15
6246	confirm payment	Jack	2018/02/13 15:56:03.000	SAMSUNG Galaxy S4	329,00 €	3	NL-7833HT-15
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...	...	...	...	...	...	...	...

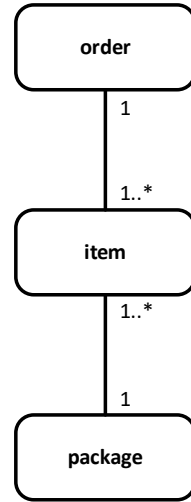
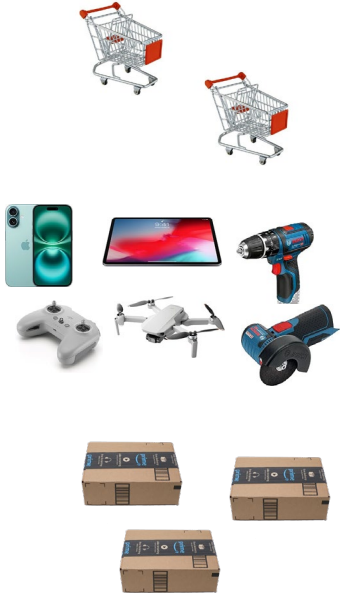
We cannot squeeze this reality into cases, we need a multitude of interconnected objects and events



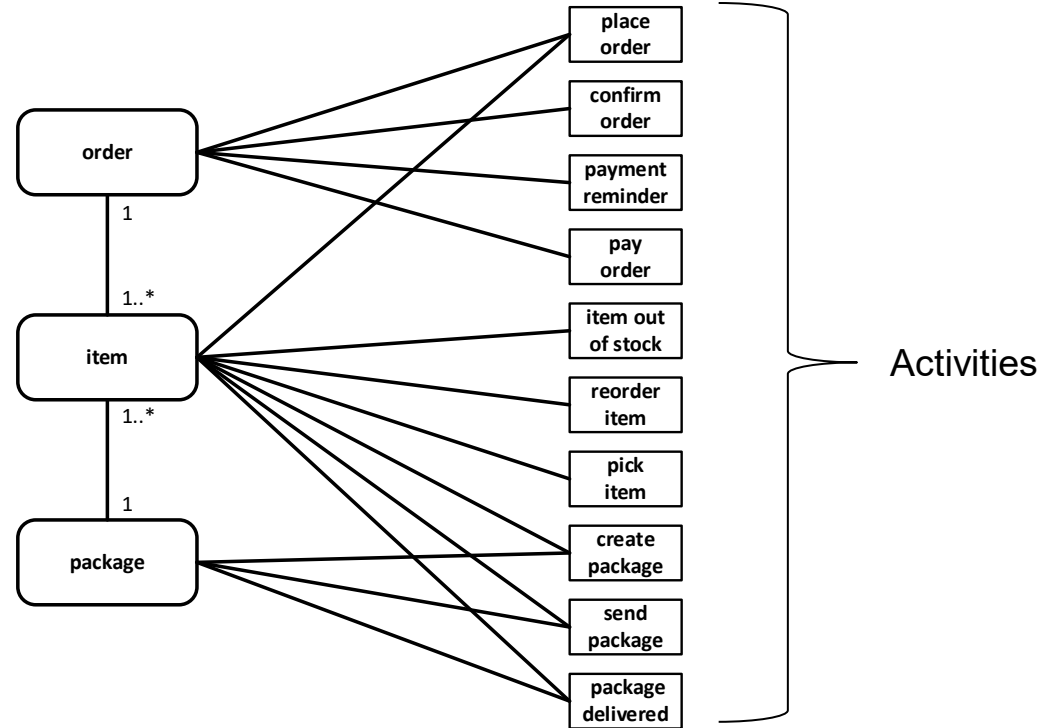
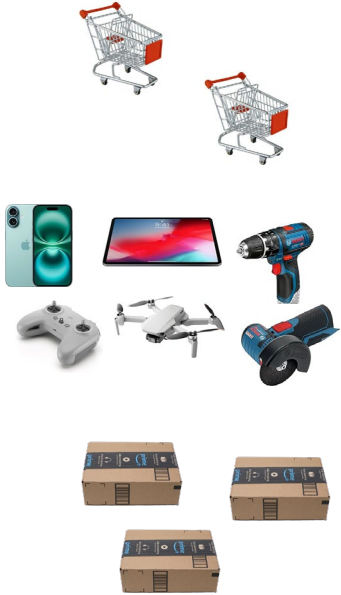
Minimal Example: On Time In Full (OTIF) Score?



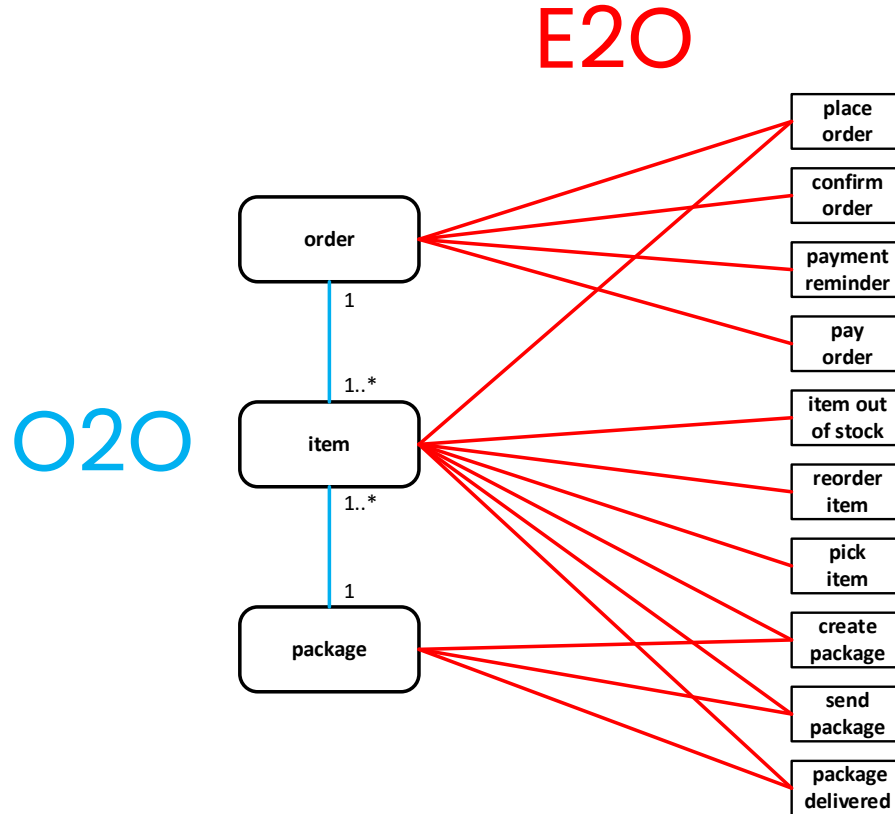
Object Types



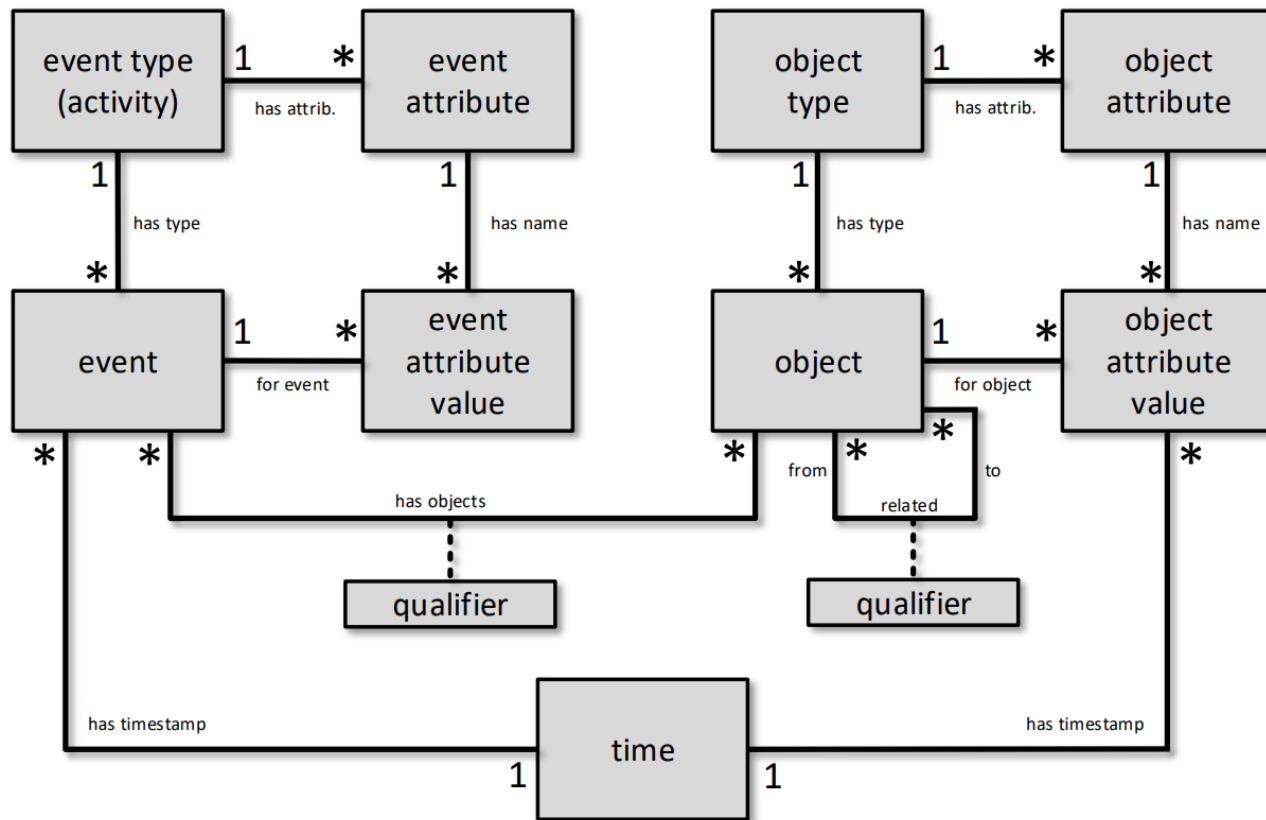
Object Types and Event Types (Activities)



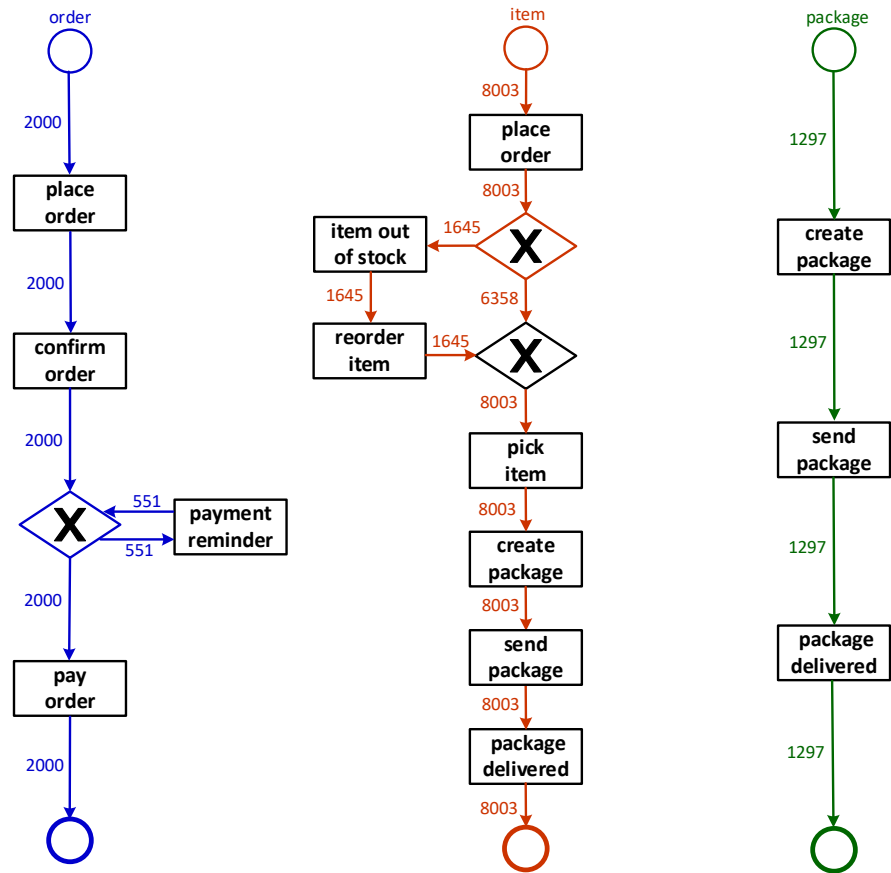
Relating Object Types and Event Types



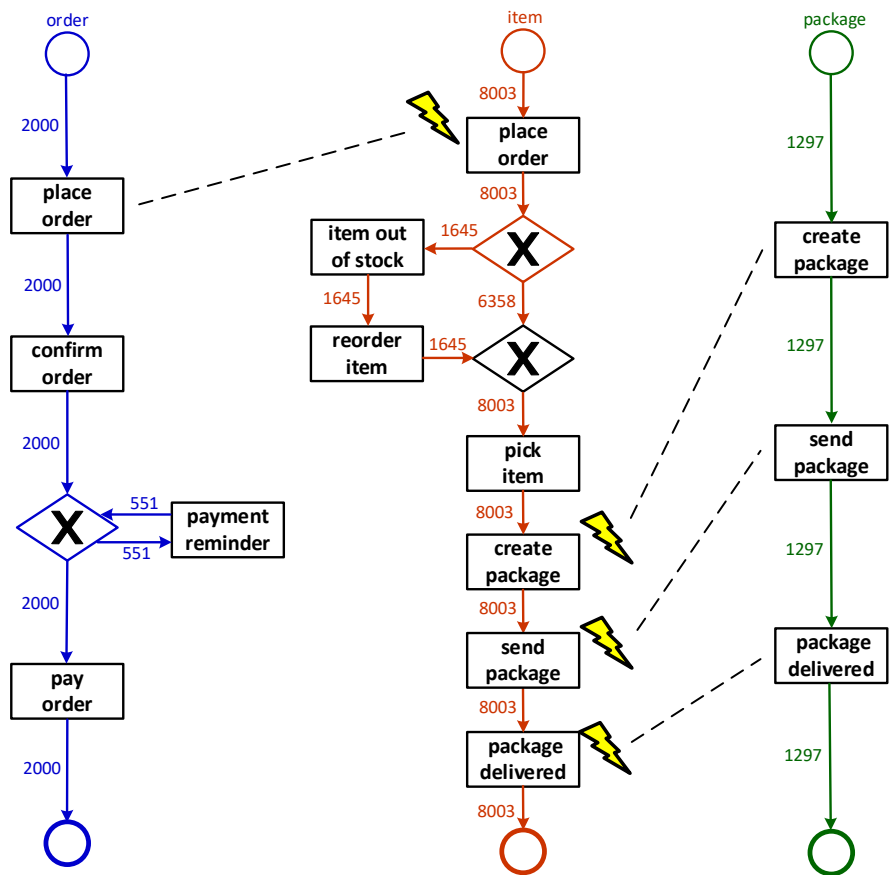
OCEL 2.0



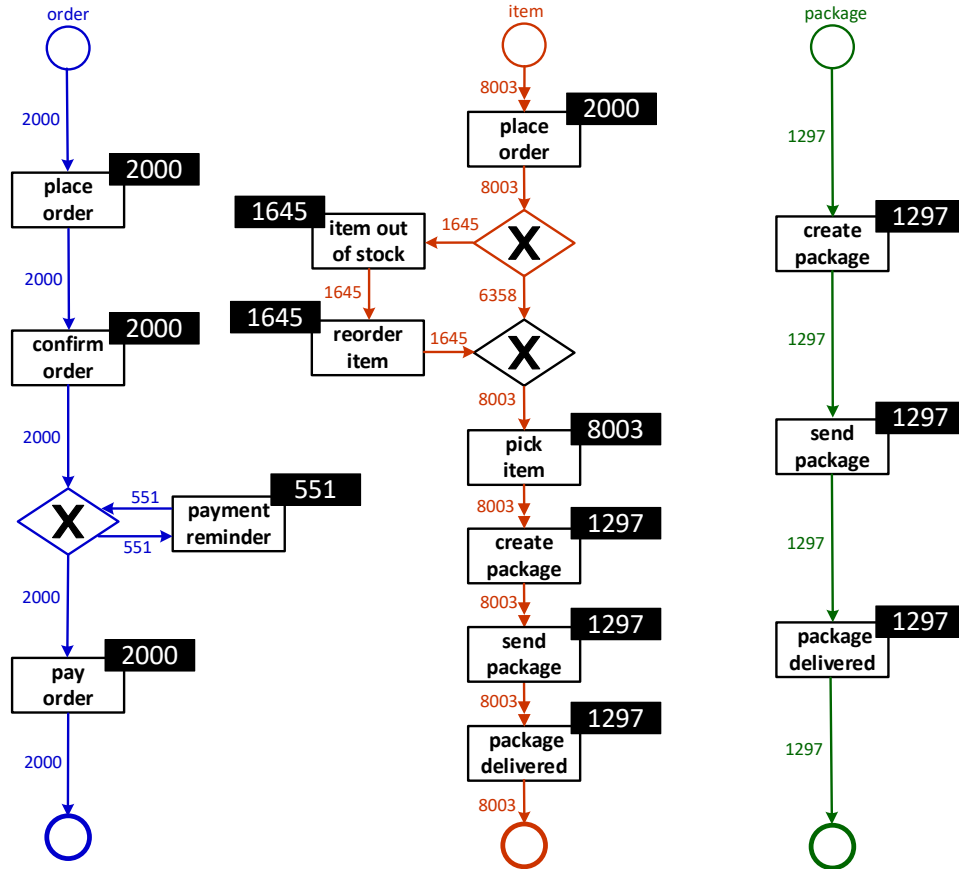
Discovering a Traditional Process Model Per Object Type



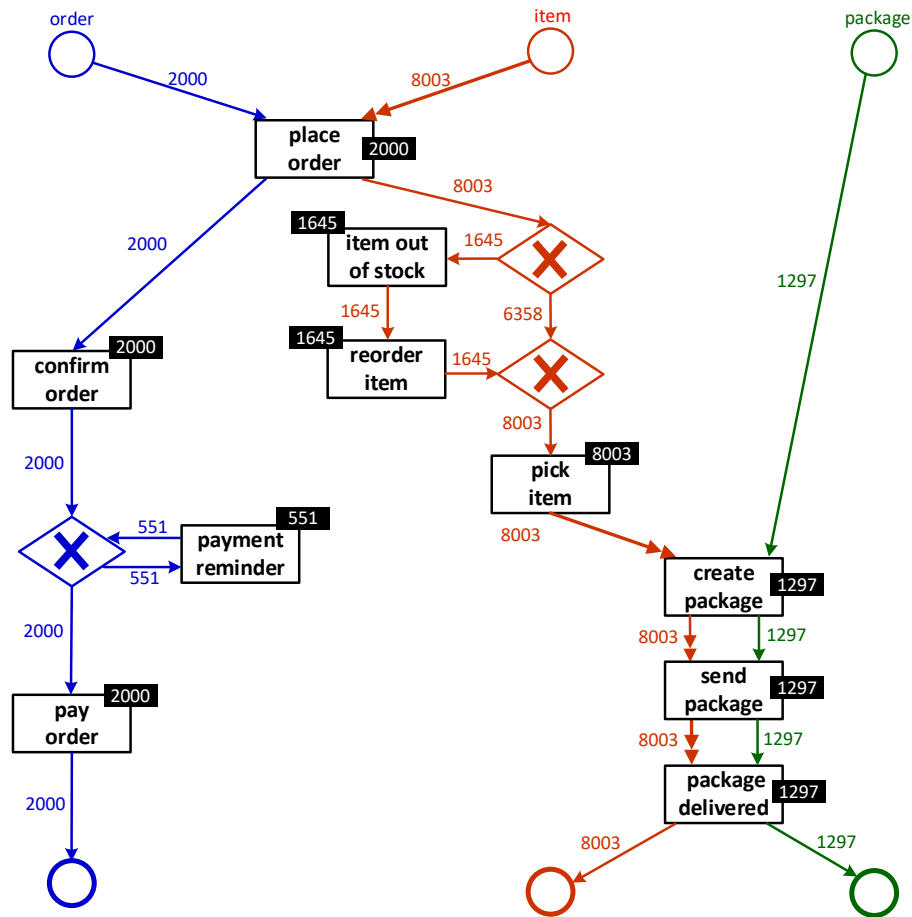
Misalignment Due To Flattening



Correcting Frequencies Before Merging



Discovered Object-Centric Process Model



Why Object-Centric Process Mining?

01

Avoid repeatedly going back to your source systems

- Offers a single system-agnostic source of truth
- Saves time and helps to capture real-live events and objects

02

Avoid distortions due to the single-case assumption

- Squeezing reality into simple event logs creates distortions
- This includes the unintentional replication of events (convergence) and loss of causal relations (divergence)

03

See and understand the interactions between different object types

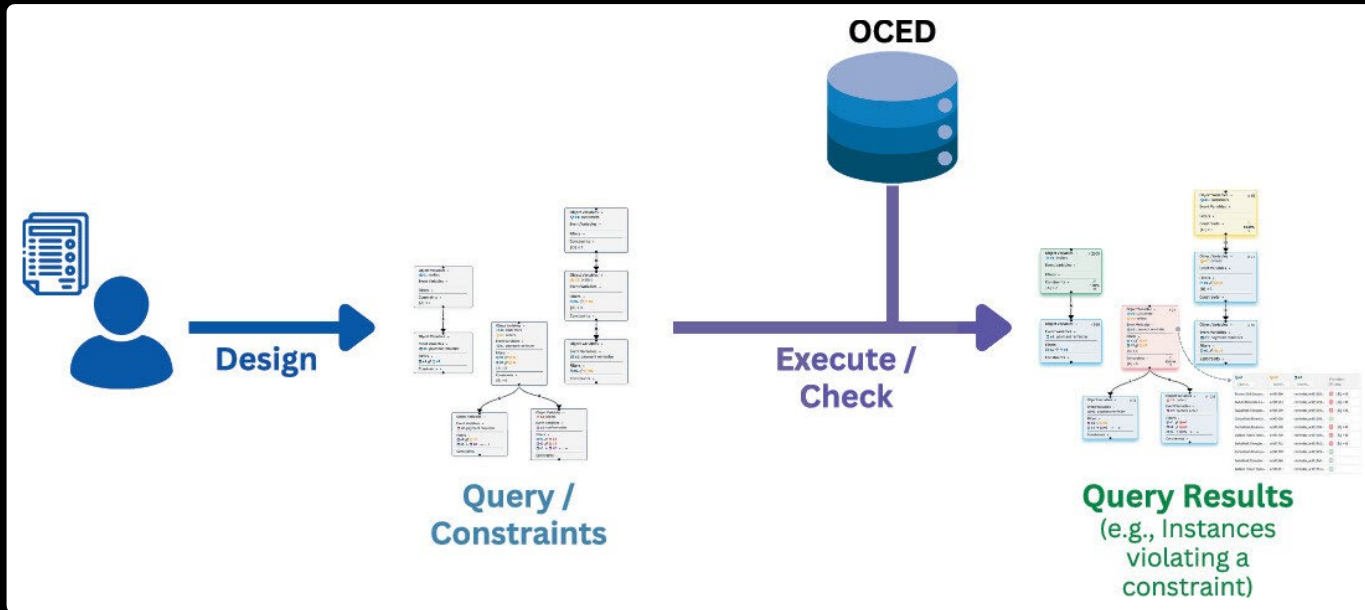
- Problems live at the intersections of processes and organizational entities
- E.g. low On-Time-In-Full (OTIF) scores may be caused by sales, production, procurement, logistics, etc.

OCPQ

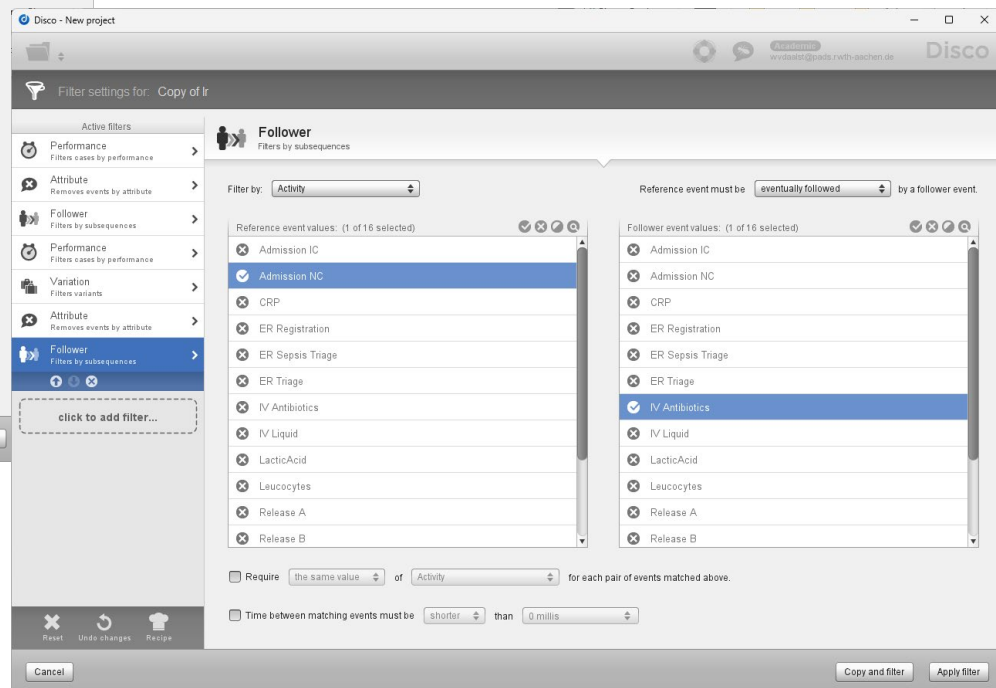
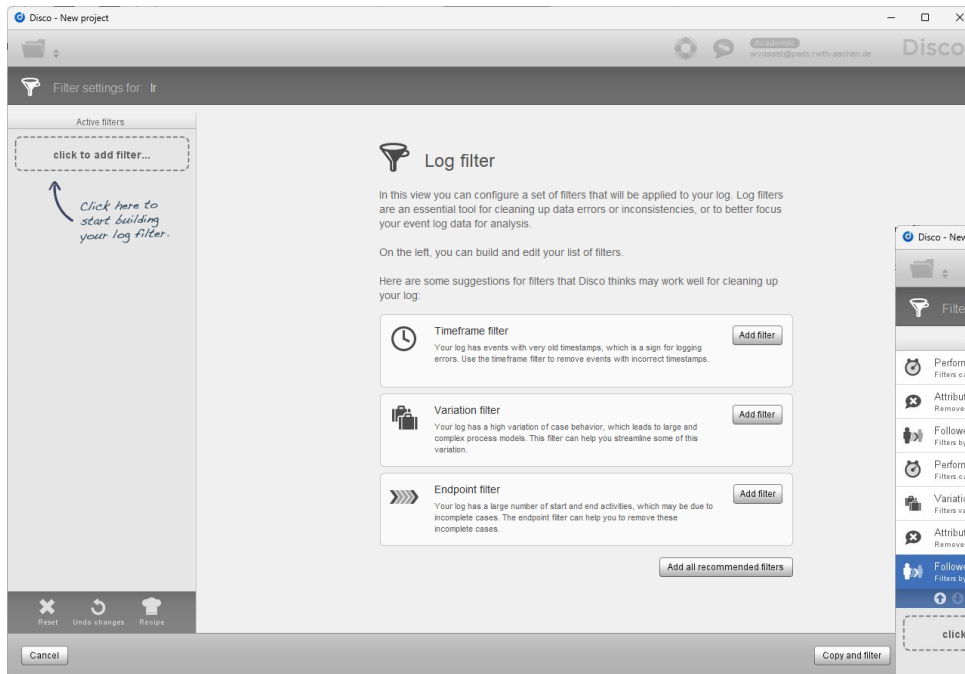
Thanks to Aaron Küsters

Motivation

Querying case-centric event data is already challenging, but querying object-centric event data is much more complicated!



Querying and Constraint Checking Are Related



Inspiration: Z notation

BirthdayBook _____

known : $\mathbb{P}$ NAME

birthday : NAME $\mapsto$ DATE

known = dom *birthday*

AddBirthday _____

Δ *BirthdayBook*

name? : NAME

date? : DATE

name? $\notin$ *known*

birthday' = *birthday* $\cup$ {*name?* $\mapsto$ *date?*}

The Z Notation:

A Reference Manual

Second Edition

J. M. Spivey

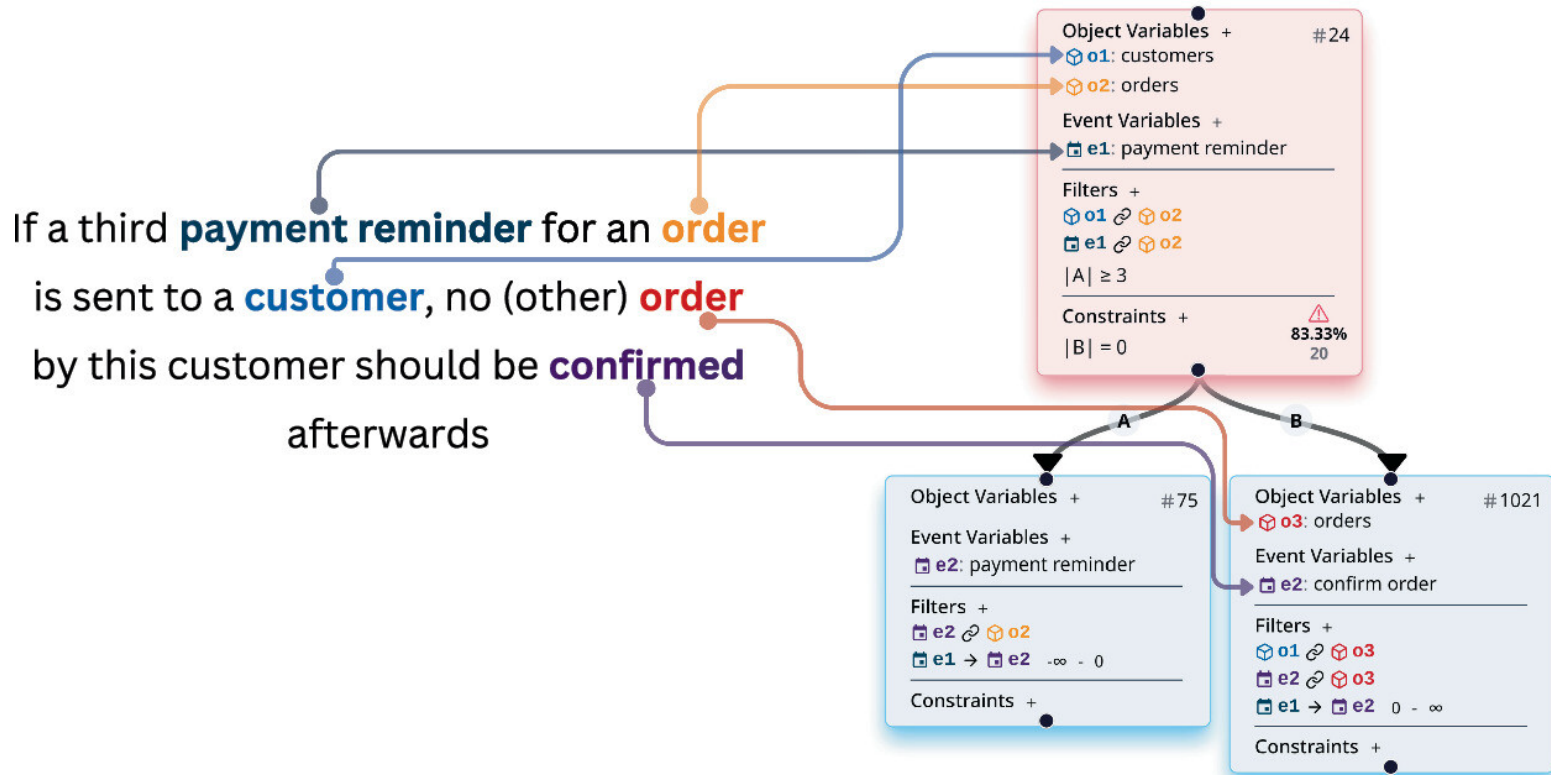
Programming Research Group
University of Oxford

Based on the work of

J. R. Abrial, I. J. Hayes, C. A. R. Hoare,
He Jifeng, C. C. Morgan, J. W. Sanders,
I. H. Sørensen, J. M. Spivey, B. A. Sufrin

- Initially introduced by Jean-Raymond Abrial in the 1970s, it evolved into an ISO standard, but few people today are still familiar with it.
- Ideas reused: explicit variables, constraints over these variables, nesting/reusing Z schemata.

Example from [1]



**OCPQ**

Backend online

OCEL loaded

21008 Events

10840 Objects

OCEL Info

Graph

Queries & Constraints

Back

Backend Mode: local

OCEL Info

General

21008 Events

10840 Objects

Number of Event Types: 11

Number of Events: 21008

Number of Object Types: 6

Number of Objects: 10840

Event Types 11

pay order

package delivered

place order

create package

confirm order

item out of stock

pick item

send package

payment reminder

reorder item

failed delivery

Object Types 6

orders

.00 price (float)

items

.00 weight (float)

.00 price (float)

packages

.00 weight (float)

customers

products

.00 weight (float)

.00 price (float)

employees

Aa role (string)



OCPQ

Backend online

OCEL loaded

21008 Events

10840 Objects

OCEL Info

Graph

Queries & Constraints

Back

Backend Mode: local

OCEL Graph

Root ID

o-990003

Object

Event

Max Distance

2

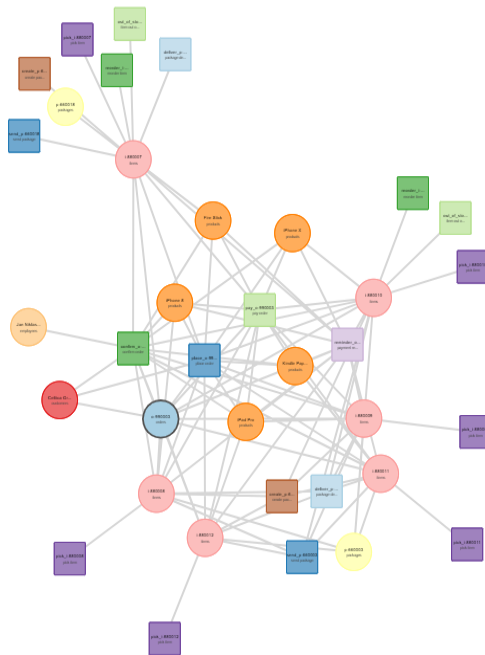
Maximum
Neighbors

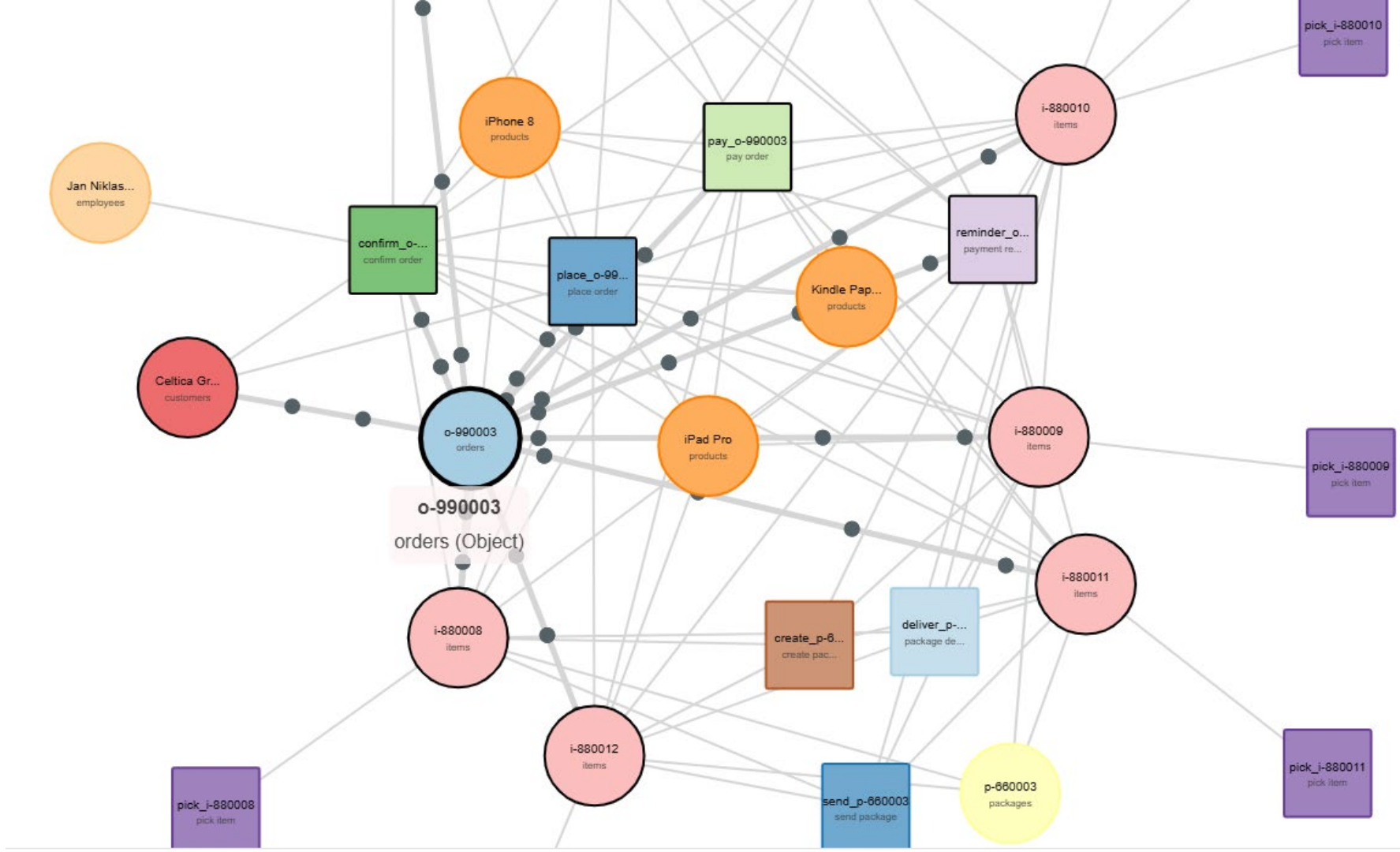
100

Spanning Tree ☐

Apply

OCPQ support



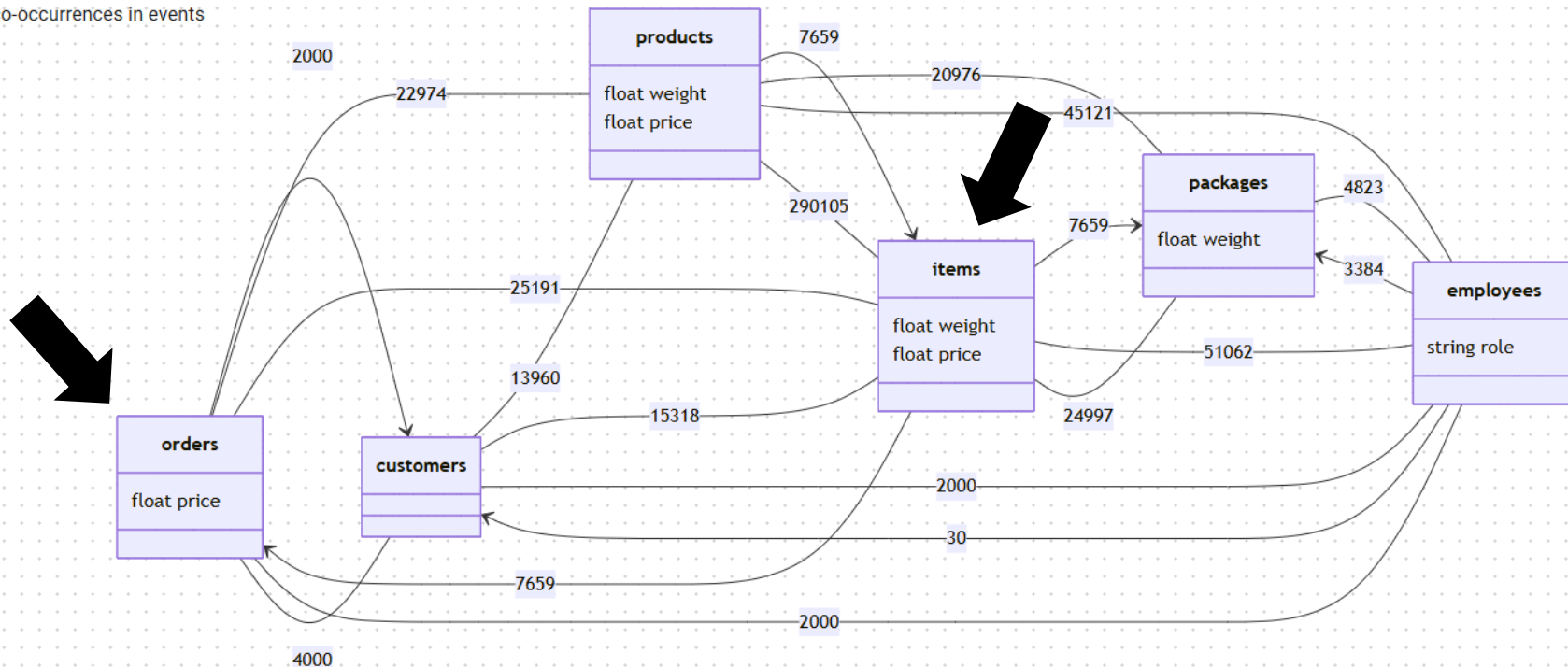


Let's focus on orders and items

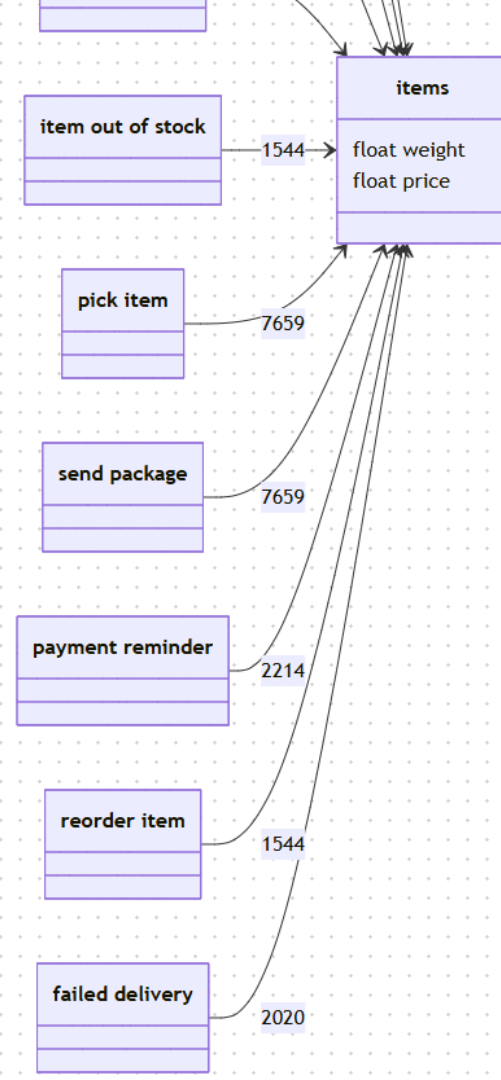
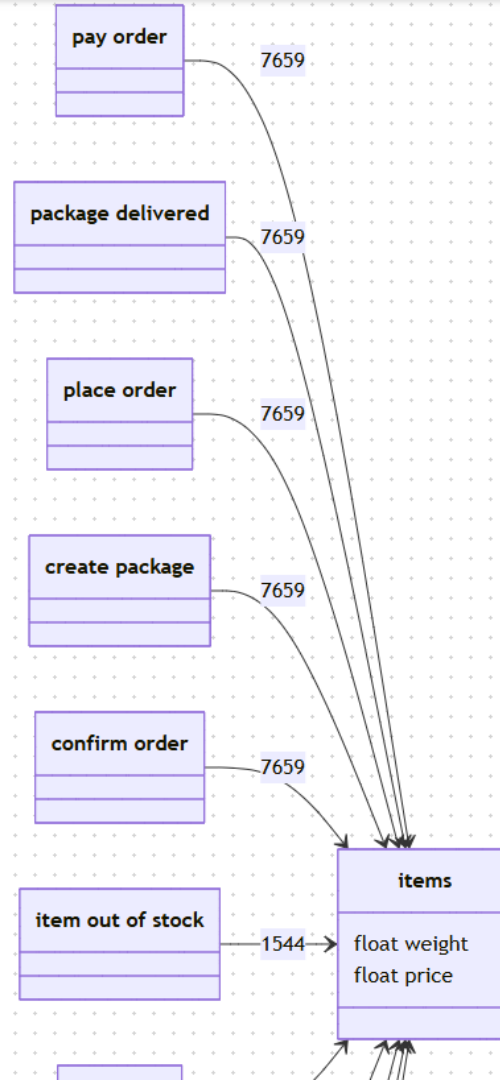
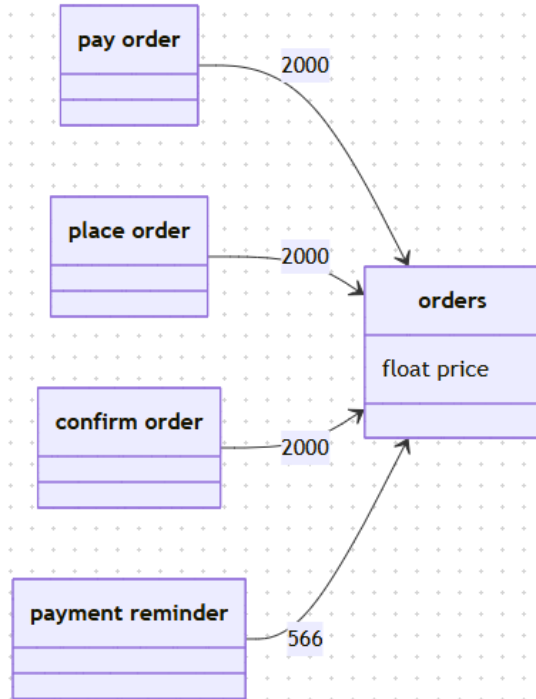
Object Types

☑ count object relations

☑ count co-occurrences in events



Let's focus on just orders and items





OCPQ

Backend online

OCEL loaded

21008 Events

10840 Objects

OCEL Info

Graph

Queries & Constraints

Back

Backend Mode: local



2 Queries...

Add



Selected Query

query test

Description

No description

query test

No description

Query Info

1 Nodes, 0 Edges

3.08% Violations
(236 of 7659)

Filter



Object Variables +

#7659

o1: orders

o2: items

Event Variables +

e1: place order

e2: package delivered

Filters +

e1 o1

e2 o2

o1 o2

Labels +

Constraints +

e1 → e2 -∞ - 4w

3.08%
236

3% of the
items are
delivered
too late

Output Bindings

7659 Bindings
236 Violations

 o1	 o2	 e1	 e2	Violation
Search...	Search...	Search...	Search...	any
o-990001	i-880002	place_o-990001	deliver_p-660001	☐
o-990001	i-880003	place_o-990001	deliver_p-660001	☐
o-990001	i-880001	place_o-990001	deliver_p-660001	☐
o-990002	i-880004	place_o-990002	deliver_p-660001	☐
o-990002	i-880005	place_o-990002	deliver_p-660001	☐
o-990002	i-880006	place_o-990002	deliver_p-660001	☐
o-990003	i-880012	place_o-990003	deliver_p-660003	☐
o-990003	i-880007	place_o-990003	deliver_p-660018	☐
o-990003	i-880011	place_o-990003	deliver_p-660003	☐
o-990003	i-880008	place_o-990003	deliver_p-660003	☐

Rows per page

10

Page 1 of 766

<

>

Add



Selected Query

query test

Description

Query Info

1 Nodes, 0 Edges

3.08% Violations
(236 of 7659)

Filter



Object Variables +

#7659

 o1: orders o2: items

Event Variables +

 e1: place order e2: package delivered

Filters +

 e1  o1 e2  o2 o1  o2

Labels +

Constraints +

 e1 →  e2 -∞ - 4w 3.08%
236

Output Bindings

7659 Bindings
236 Violations

 o1	 o2	 e1	 e2	Violation
Search...	Search...	Search...	Search...	 viol.
o-990026	i-880114	place_o-990026	deliver_p-660065	  e1 →  e2
o-990031	i-880135	place_o-990031	deliver_p-660091	  e1 →  e2
o-990036	i-880157	place_o-990036	deliver_p-660074	  e1 →  e2
o-990047	i-880202	place_o-990047	deliver_p-660121	  e1 →  e2
o-990061	i-880262	place_o-990061	deliver_p-660108	  e1 →  e2
o-990061	i-880261	place_o-990061	deliver_p-660108	  e1 →  e2
o-990078	i-880330	place_o-990078	deliver_p-660120	  e1 →  e2
o-990085	i-880361	place_o-990085	deliver_p-660132	  e1 →  e2
o-990087	i-880377	place_o-990087	deliver_p-660165	  e1 →  e2
o-990094	i-880408	place_o-990094	deliver_p-660147	  e1 →  e2
o-990103	i-880434	place_o-990103	deliver_p-660136	  e1 →  e2
o-990109	i-880469	place_o-990109	deliver_p-660146	  e1 →  e2
o-990114	i-880484	place_o-990114	deliver_p-660149	  e1 →  e2
o-990114	i-880488	place_o-990114	deliver_p-660169	  e1 →  e2
o-990133	i-880545	place_o-990133	deliver_p-660152	  e1 →  e2
o-990153	i-880626	place_o-990153	deliver_p-660169	  e1 →  e2
o-990153	i-880627	place_o-990153	deliver_p-660185	  e1 →  e2
o-990160	i-880662	place_o-990160	deliver_p-660175	  e1 →  e2
o-990183	i-880753	place_o-990183	deliver_p-660196	  e1 →  e2

Rows per page

30

Page 1 of 8

<

>

Add



Selected Query

query test

Description

Query Info

1 Nodes, 0 Edges

3.08%  Violations
(236 of 7659)

Filter



Object Variables +

#7659

 o1: orders o2: items

Event Variables +

 e1: place order e2: package delivered

Filters +

 e1  o1 e2  o2 o1  o2

Labels +

Constraints +

 e1 →  e2 -∞ - 4w 3.08%
236

online

ded

ents

jects

o

straints

e: local

+

-

↺

↻

Object Variables + #2000
o1: orders
Event Variables +
e1: place order
Filters +
e1 o1
Labels +
Constraints +

there are
7659 items

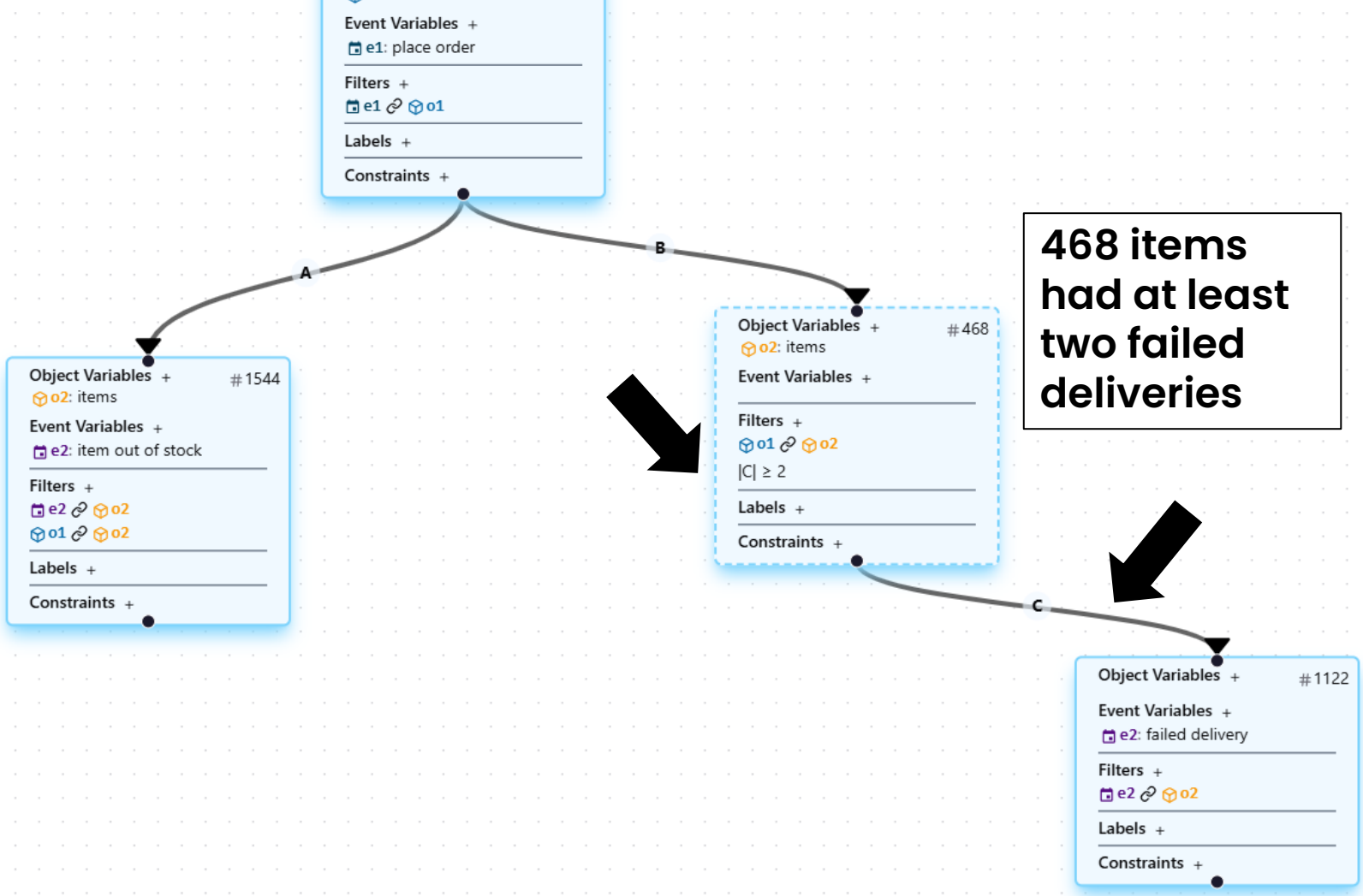
Object Variables + #1544
o2: items
Event Variables +
e2: item out of stock
Filters +
e2 o2
o1 o2
Labels +
Constraints +

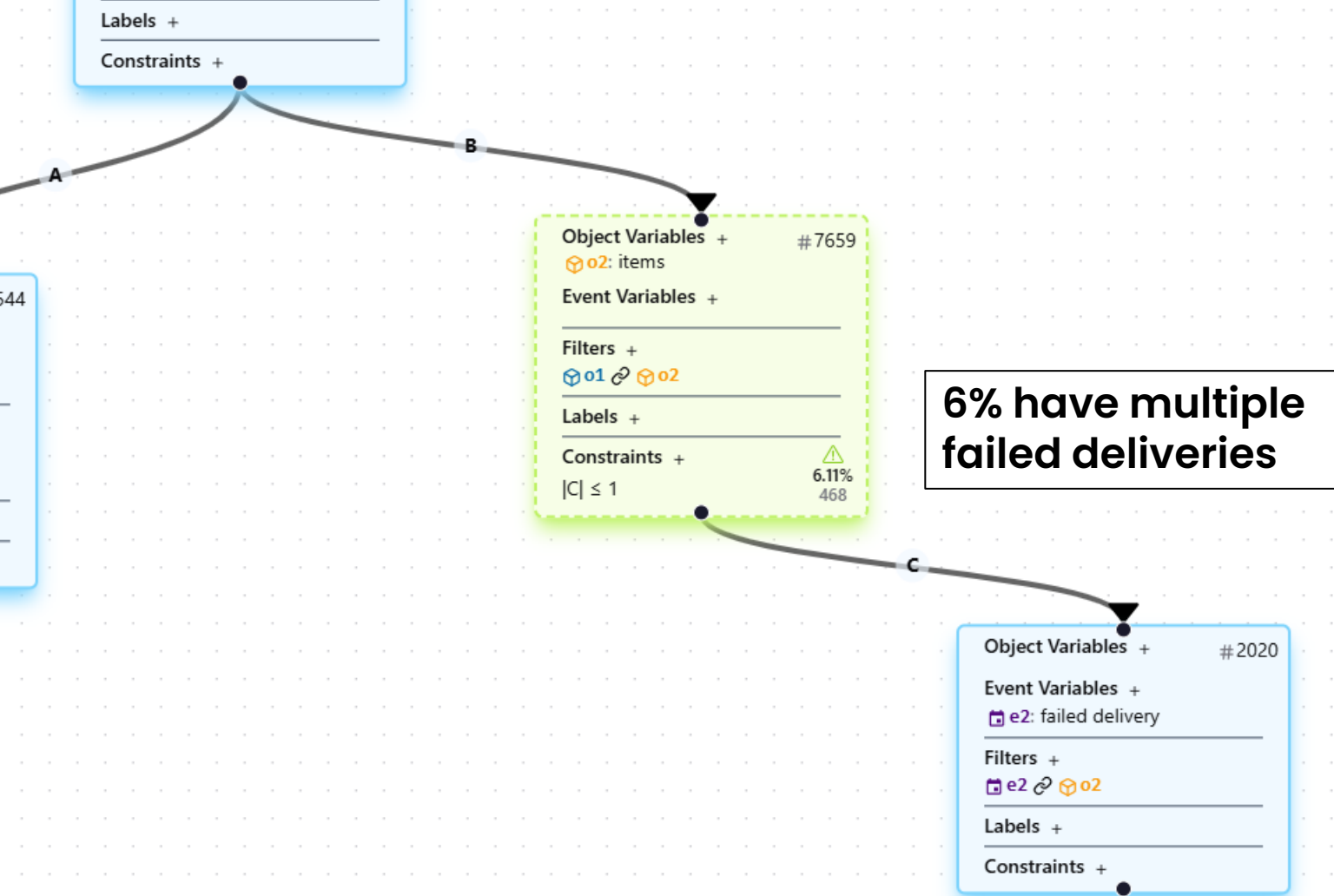
1455 items were
out of stock

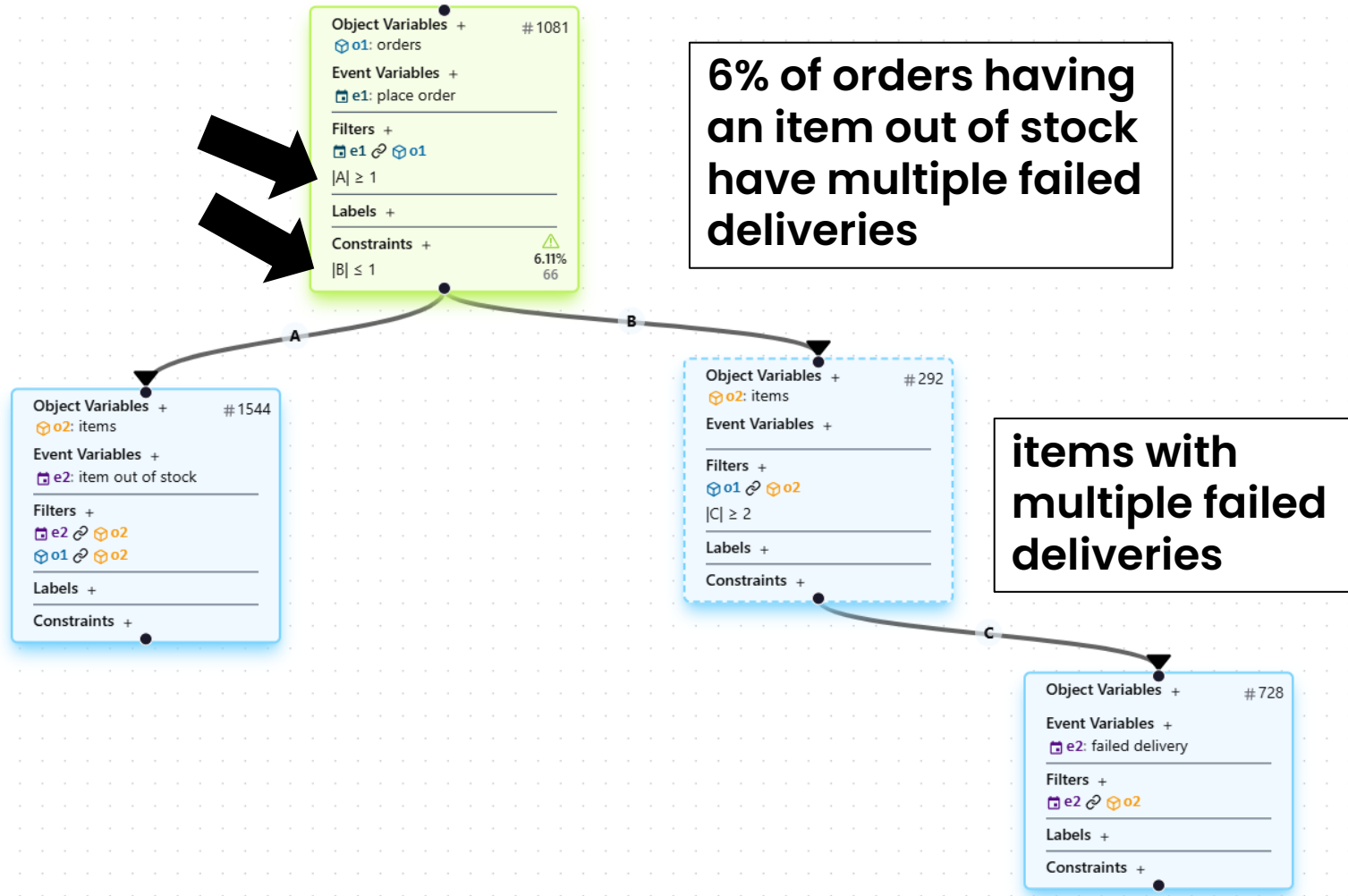
Object Variables + #7659
o2: items
Event Variables +
Filters +
o1 o2
Labels +
Constraints +

2020 failed
deliveries

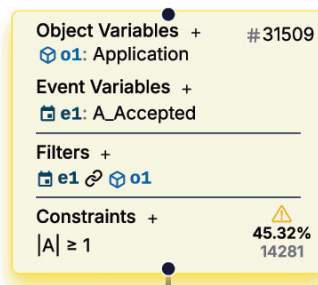
Object Variables + #2020
Event Variables +
e2: failed delivery
Filters +
e2 o2
Labels +
Constraints +







After an Application was accepted, there should be at least one associated Offer accepted afterwards



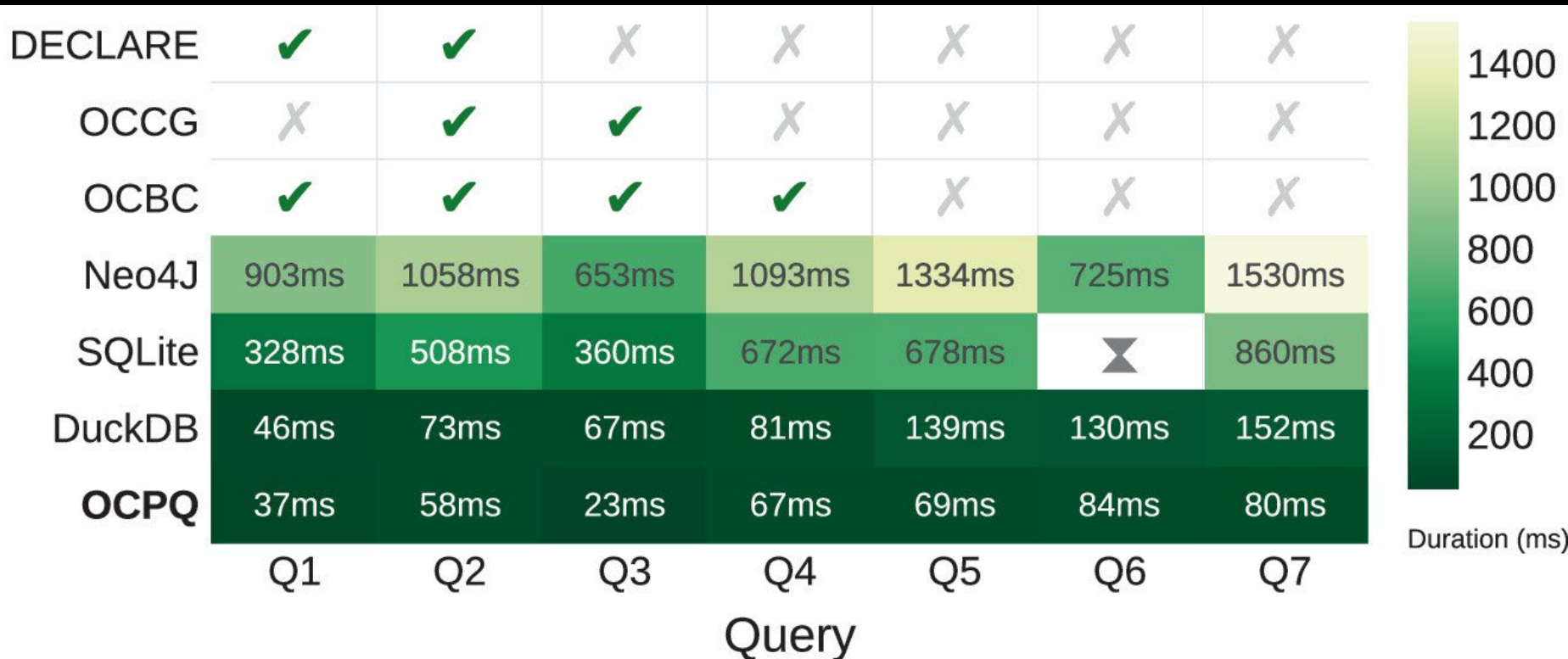
(a) Q4 in OCPQ

```
WITH
    AcceptedOffers AS (
        SELECT DISTINCT
            O20.ocel_source_id,
            E2.ocel_id,
            E2.ocel_time
        FROM
            object_object AS O20
            INNER JOIN object_offer AS A2 ON O20.ocel_target_id = A2.ocel_id
            INNER JOIN event_object AS E202 ON E202.ocel_object_id = A2.ocel_id
            INNER JOIN event_O_Accepted AS E2 ON E202.ocel_event_id = E2.ocel_id
    )
SELECT
    A1.ocel_id,
    E1.ocel_id,
    (
        SELECT
            COUNT(*)
        FROM
            AcceptedOffers AS A0
        WHERE
            A0.ocel_source_id = A1.ocel_id
            AND A0.ocel_source_id = A1.ocel_id
            AND E1.ocel_time <= A0.ocel_time
    ) >= 1 AS satisfied
FROM
    object_Application AS A1
    INNER JOIN event_object AS E20 ON E20.ocel_object_id = A1.ocel_id
    INNER JOIN event_A_Accepted AS E1 ON E20.ocel_event_id = E1.ocel_id;
```

(b) Q4 in SQL

```
MATCH (o1:Entity { EntityType: "Application" }) <-[:CORR]- (e1:Event {Activity:
    ↪ "A_Accepted"})
OPTIONAL MATCH (e2:Event { Activity: "O_Accepted" }) -[:CORR]-> (o2:Entity {EntityType:
    ↪ "Offer"}) -[:REL]-> (o1)
WHERE e1.timestamp <= e2.timestamp
WITH o1, COUNT(e2) AS e2_c, COUNT(o2) AS o2_c
WHERE e2_c < 1 OR o2_c < 1
RETURN COUNT(o1) AS violationCount
```

(c) Q4 in Cypher (violation count only)



Comparison as fair as possible, e.g., for SQLite, the OCEL 2.0 database was completely loaded into memory, to be a more accurate comparison to OCPQ, and it was ensured that appropriate table indexes were created.

OCPPQ: Object-Centric Process Querying and Constraints

Aaron Küsters^(✉) and Wil M. P. van der Aalst[✉]

Chair of Process and Data Science (PADS), RWTH Aachen University,
Aachen, Germany
{kuesters,wvdaalst}@pads.rwth-aachen.de

Abstract. Process querying is used to extract information and insights from process execution data. Similarly, process constraints can be checked against input data, yielding information on which process instances violate them. Traditionally, such process mining techniques use case-centric event data as input. However, with the uptake of Object-Centric Process Mining (OCPM), existing querying and constraint checking techniques are no longer applicable. Object-Centric Event Data (OCED) removes the requirement to pick a single case notion (i.e., requiring that events belong to exactly one case) and can thus represent many real-life processes much more accurately. In this paper, we present a novel highly-expressive approach for object-centric process querying, called *OCPPQ*. It supports a wide variety of applications, including OCED-based constraint checking and filtering. The visual representation of nested queries in OCPPQ allows users to intuitively read and create queries and constraints. We implemented our approach using (1) a high-performance execution engine backend and (2) an easy-to-use editor frontend. Additionally, we evaluated our approach on a real-life dataset, showing the lack in expressiveness of prior work and runtime performance significantly better than the general querying solutions *SQLite* and *Neo4j*, as well as comparable to the performance-focused *DuckDB*.

Keywords: Object-Centric Process Mining · Querying · Constraints

1 Introduction

In organizations, process execution data contain valuable insights that are often not leveraged to their full extent. The domain of process querying is concerned with methods and techniques for extracting such insights from event data. For example, given data of an order management process, a simple query for interesting cases could be formulated in natural language as “Find all cases where **pay order** is executed more than once”. Process querying of execution data also has a strong correspondence to process constraints: Identifying violations of a process constraint, e.g., “**pay order** should be executed exactly once per case”, corresponds to querying its violations (i.e., a query with the negated constraint).

OCPPQ

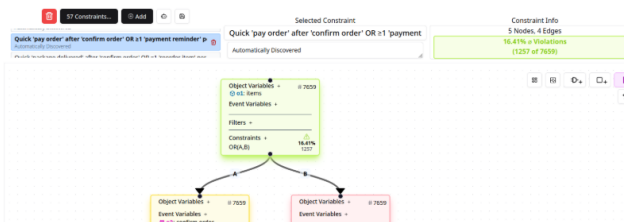
Object-Centric Process Querying

Exploring object-centric process data made easy.

Download

Explore Features

Explore, Query, Filter, Constrain, and Label OCED

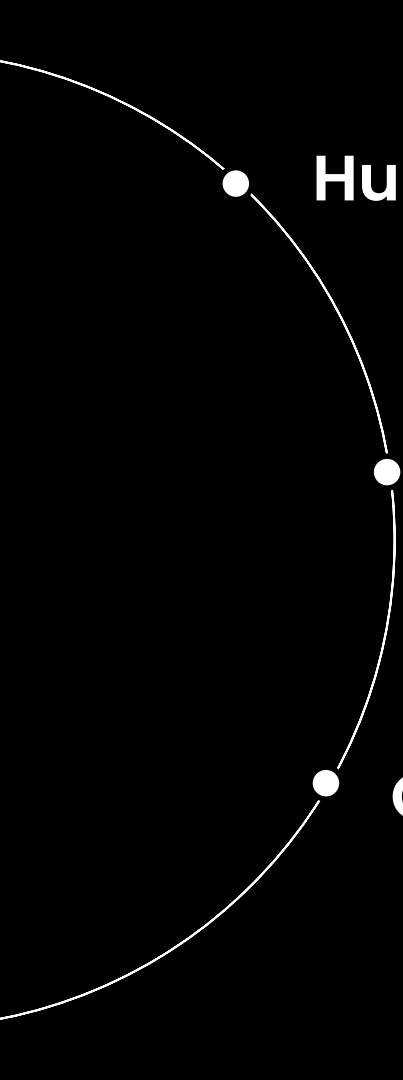


Focus on **high**
expressiveness and **high**
performance

Get results in less than a second.
Handle large datasets.
Quickly import and export data.
Model complex queries
Capture interactions between objects of the

<https://ocpq.aarkue.eu/>

Conclusion



• **Human-in-the-loop**

• **Cortado**

• **OCPQ**

www.vdaalst.com

www.processmining.org

www.pads.rwth-aachen.de